SHEET 1 OF 23

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTY DOCKET NO. SERIAL NO. Form PTO 1449 211897US99 09/911,496 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Robert J. HIGGINS, et al. MAR 1 4 2003 FILING DATE GROUP July 25, 2001 2814 EXAMPLE INITIAL **U.S. PATENT DOCUMENTS** NAME FILING DATE DOCUMENT DATE CLASS SUB IF APPROPRIATE NUMBER CLASS 04/09/74 3,802,967 Ladany et al. AA ΑB 4,174,422 11/13/79 Matthews et al. 4,404,265 09/13/83 Manasevit AC 11/13/84 Hovel et al. ΑĎ 4,482,906 ΑF 4,523,211 06/11/85 Morimoto et al. 04/28/87 Manasevit ΑF 4,661,176 4,793,872 12/27/88 Meunier et al. ΑG AΗ 4,846,926 07/11/89 Kay et al. 4,855,249 08/08/89 Akasaki et al. Αī 4,891,091 01/02/90 Shastry ΑK 4,912,087 03/27/90 Aslam et al. AL 4,928,154 05/22/90 Umeno et al. 10/16/90 Wanlass et al. AΜ 4.963.949 ĀN 08/25/92 Bisaro et al. 5.141.894 10/27/92 Calviello et al. IAO 5,159,413 12/22/92 Connell et al. AΡ 5,173,474 Chisholm et al. AC 5,221,367 06/22/93 07/06/93 McKee et al. AR 5,225,031 10/25/94 Neville Connell et al. AS 5,358,925 Summerfelt 5,393,352 02/28/95 05/23/95 Ş 5,418,216 Fork McKee et al. A۷ 5,450,812 09/19/95 AW 5,478,653 12/26/95 Guenzer 5,482,003 01/09/96 McKee et al. 05/07/96 Nashimoto 5,514,484 ΑZ 5,556,463 09/17/96 Guenzer 12/31/96 Sheldon BA 5.588.995 5,670,798 09/23/97 Schetzina BB 03/31/98 Fork et al. BC 5,733,641 5,735,949 04/07/98 Mantl et al. BD 5,741,724 04/21/98 Ramdani et al. ΒE RF 5,810,923 09/22/98 Yano et al. McKee et al. BG 5,830,270 11/03/98 5,912,068 06/15/99 Jia ВΗ Wollesen 6,020,222 02/01/00 BI 04/04/00 Yano et al. ΒJ 6,045,626 05/16/00 Northrup et al. BK 6,064,078 05/16/00 Park BL 6,064,092 6,096,584 08/01/00 Ellis-Monaghan et al. RM 08/15/00 McKee et al. ΒN 6,103,008 10/24/00 BO 6,136,666 So BP 6,174,755 01/16/01 Manning 6,180,486 01/30/01 Leobandung et al.

Wheel An

SHEET 2 OF 23

orm PTO 1449		U.S. DEPARTMENT OF COM	MERCE	ATTY DOCKET NO.			SERIAL I	1EE 1 2 OF 23 NO.
OPE		ATENT AND TRADEMARK OF		211897US99				09/911,496
_	RES	RENCES CITED BY AF	PLICANT	APPLICANT Rober	rt J. HIC	GINS	S, et al.	
1AR 1 4 2	io 🚡	}		FILING DATE			GROUP	
ilitin	-			July 25, 2001			L	2814
M	A S	DOCUMENT	DATE	U.S. PATENT DOCUMENTS NAME		ASS	SUB	FILING DATE
INITIAL		NUMBER		<u> </u>			CLASS	IF APPROPRIATE
<u> [[/} </u>	CA	3,766,370	10/16/73	Walther		1		
1	СВ	4,006,989	02/08/77	Andringa		1		
	CC	4,284,329	08/18/81	Smith et al.		<u> </u>		
	CD	4,777,613	10/11/98	Shahan et al.				
	CE	4,802,182	01/31/89	Thornton et al.		<u> </u>		
	CF	4,882,300	11/21/89	Inoue et al.				
	CG	4,896,194	01/23/90	Suzuki				
	СН	4,999,842	03/12/91	Huang et al.		<u> </u>		
	CI	5,081,062	01/14/92	Vasudev et al.				
	C1	5,155,658	10/13/92	Inam et al.				
	СК	5,248,564	09/28/93	Ramesh				<u></u>
	CL	5,260,394	11/09/93	Tazaki et al.				
	СМ	5,270,298	12/14/93	Ramesh				
	CN	5,286,985	02/15/94	Taddiken		1		
	co	5,310,707	05/10/94	Oishi et al.		1_		
	СР	5,326,721	07/05/94	Summerfelt		1_		
l	CQ	5,404,581	04/04/95	Honjo				
	CR	5,418,389	05/23/95	Watanabe				
	cs	5,436,759	07/25/95	Dijaii et al.				
	СТ	5,576,879	11/19/96	Nashimoto				
	CU	5,606,184	02/25/97	Abrokwah, et al.				
	CV	5,640,267	06/17/97	May et al.				
	cw	5,674,366	10/07/97	Hayashi et al.				
	СХ	5,729,641	03/17/98	Chandonnet et al.				
	CY	5,790,583	08/04/98	Но		1		
	cz	5,825,799	10/20/98	Ho et al.				
	DĄ	5,857,049	01/05/99	Beranek et al.				
	DB	5,874,860	02/23/99	Brunel et al.				
	DC	5,926,496	07/20/99	Ho et al.				
	QQ	5,937,285	08/10/99	Abrokwah, et al.				
	DE	5,981,400	11/09/99	Lo				
	DF	5,990,495	11/23/99	Ohba				
	DG	6,002,375	12/14/99	Corman et al.				
	DН	6,008,762	12/28/99	Nghiem				
	DI	6,055,179	04/25/00	Koganei et al.				
	DJ	6,107,653	08/22/00	Fitzgerald				
	DK	6,113,690	09/05/00	Yu et al.				
1	PL	6,114,996	09/05/00	Nghiem				
	DΜ	6,121,642	09/19/00	Newns				
	DN	6,128,178	10/03/00	Newns		T		
	ро	6,143,072	11/07/00	McKee et al.			<u> -</u>	
	DP	6,184,144	02/06/01	Lo				
VIK	pa	6,222,654	04/24/01	Frigo		4	1	

Ruhanl An 12/13/05

SHEET 3 OF 23

ATTY DOCKET NO. SERIAL NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 211897US99 09/911,496 APPLICANT LIST OF REFERENCES CITED BY APPLICANT Robert J. HIGGINS, et al. GROUP **FILING DATE** 2814 July 25, 2001 **U.S. PATENT DOCUMENTS** EXAMINER DOCUMENT DATE NAME CLASS SUB FILING DATE NUMBER CLASS IF APPROPRIATE INITIAL 11/20/84 Hawrylo 4,484,332 ΕB 4,815,084 03/21/89 Scifres et al. Eshita et al. 10/24/89 EC 4,876,219 E 4,963,508 10/16/90 Umeno et al. E 5,060,031 10/22/91 Abrokwah, et al. EF 5,063,166 11/05/91 Mooney et al. EG 05/26/92 Lebby et al. 5,116,461 Delcoco et al. Ξ 5.127.067 06/30/92 5,144,409 09/01/92 Ma 03/08/94 Chapple-Sokol et al 5,293,050 EJ ΕK 5,356,831 10/18/94 Calviello et al. Kao et al. 02/21/95 ΕL 5,391,515 EM 5,442,191 08/15/95 Ма 08/22/95 ĒΝ 5,444,016 Abrokwah, et al. ΕO 5,480,829 01/02/96 Abrokwah, et al. ΕP 5,528,414 06/18/96 Oakley EQ 5,614,739 03/25/97 Abrokwah et al. 5,729,394 03/17/98 Sevier et al. ES 03/24/98 Tsu et al. 5,731,220 06/09/98 Paoli et al. 5,764,676 07/07/98 Yamamoto ΕŲ 5,777,762 07/07/98 Yoshikawa et al. EΥ 5.778.018 5,778,116 ΕW 07/07/98 Tomich 09/01/98 Yano et al. 5.801,105 EX 5,828,080 10/27/98 Yano et al. 01/12/99 Goossen et al. ΕŻ 5,858,814 01/19/99 Ortel 5,861,966 FΑ 03/16/99 FB 5,883,996 Knapp et al. 11/30/99 Klee et al. FC 5,995,359 05/02/00 FD 6,058,131 Pan 10/24/00 FΕ 6,137,603 Henmi 6,146,906 11/14/00 Inoue et al. FG 6,173,474 01/16/01 Conrad FΗ 6,180,252 01/30/01 Farrell et al. 4,242,595 12/30/0 Lehovec 4,398,342 08/16/83 Pitt et al. 4,424,589 01/03/84 Thomas et al. 4,876,208 10/24/89 Gustafson et al. FL 4,482,422 11/84 McGinn et al. 4,667,088 05/19/87 Kramer 4,772,929 09/20/88 Manchester et al. FP 4,841,775 06/27/89 keda et al. Ariyoshi et al. 4.845,044 07/04/89

Rubard M

SHEET 4 OF 23

orm PTO 1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO. 211897US99

APPLICANT

SERIAL NO. 09/911,496

LIST OF REFERENCES CITED BY APPLICANT

Robert J. HIGGINS, et al.

FILING DATE

GROUP 2814

				July 25, 2001					2814
				U.S. PATENT DOCUMENTS					
XAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLAS	SS	SUI CLAS		FILING DATE IF APPROPRIATE
	GA	4,868,376	09/19/89	Lessin et al.		$\overline{}$			II POLITICAL MAIL
///	GB	4,885,376	12/05/89	Verkade				1	
	GC	4,888,202	12/89	Murakami et al.				\dashv	
+	GD	4,891,091	12/90	Waniass et al.				7	
	GE	5,051,790	09/24/91	Hammer					
-	GF	5,055,445	10/08/91	Belt et al.				\dashv	· · · · · · · · · · · · · · · · · · ·
+	GG	5,081,519	11/14/92	Nishimura et al.					
 	GН	5,143,854	09/01/92	Pirrung et al.					
	GI	5,185,589	02/09/93	Krishnaswamy et al.					
	GJ	5,191,625	03/02/93	Gustavsson				П	
	GK	5,194,397	03/16/93	Cook et al.				\Box	· · · · · · · · · · · · · · · · · · ·
 	GL	5,208,182	05/04/93	Narayan et al.		_			
1	GМ	5,216,729	06/01/93	Berger et al.					
1	GN	5,314,547	05/24/94	Heremans et al.				$\neg \uparrow$	
	GO	5,352,926	10/04/94	Andrews					
†	GP	5,356,509	10/18/94	Terranova et al.					
	GQ	5,371,734	12/06/94	Fischer			1		
1	GR	5,372,992	12/94	Itozaki et al.					
	GS	5,405,802	04/11/95	Yamagata et al.					
	GT	5,442,561	08/15/95	Yoshizawa et al.				П	<u> </u>
	GU	5,453,727	09/26/95	Shibasaki et al.				П	
	GV	5,466,631	11/14/95	Ichikawa et al.				П	
	GW	5,473,047	12/05/95	Shi				П	
	GX	5,473,171	12/95	Summerfelt				\prod	
	GY	5,479,033	12/26/95	Baca et al.				П	
	GZ	5,486,406	01/23/96	Shi				П	
	HA	5,491,461	02/13/96	Partin et al.				\prod	
	нв_	5,492,859	02/20/96	Sakaguchi et al.				\prod	
	нс	5,494,711	02/27/96	Takeda et al.				П	
	HD	5,504,035	04/02/96	Rostoker et al.	-			Π	
1	HE	5,504,183	04/02/96	Shi				\prod	
1	HF	5,511,238	04/23/96	Bayraktaroglu			l .		
1	HG	5,512,773	04/96	Wolf et al.				\prod	
	нн	5,515,047	05/07/96	Yamakido et al.				\prod	
	н	5,515,810	05/14/96	Yamashita et al.					
	HJ	5,519,235	05/96	Ramesh				\square	
	НК	5,549,977	08/96	Jin et al.					
	HL	5,551,238	09/03/96	Prueitt					
	нм	5,552,547	09/03/96	Shi				\square	
1	HN	5,589,284	12/31/96	Summerfelt et al.					
1 1	но	5,602,418	02/11/97	lmai et al.					
117	HP	5,633,724	05/27/97	King et al.			-	-1	

1 Robard M

MAR 7 '4 2003

OTTO 1449 U.S. DEPARTMENT OF COMMERCE (Addition) PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.
211897US99
APPLICANT

FILING DATE

SERIAL NO. 09/911,496

LIST OF REFERENCES CITED BY APPLICANT

Robert J. HIGGINS, et al.

July 25, 2001

2814

XAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CU	ASS		UB ASS	FILING DATE IF APPROPRIATE
11/15	IΑ	5,650,646	07/22/97	Summerfelt						
7,42	В	5,656,382	08/12/97	Nashimoto		1			\Box	
	С	5,659,180	08/19/97	Shen et al.		1				
	D	5,661,112	08/26/97	Hatta et al.		T				
	ΙE	5,679,965	11/95	Schetzina						
)F	5,725,641	03/10/98	MacLeod		1				
- 	IG	5,745,631	04/28/98	Reinker .		٦	_			-
_	Н	5,776,621	07/07/98	Nashimoto						
	11	5,777,350	07/07/98	Nakamura et al.			\top			
	IJ	5,789,845	08/04/98	Wadaka et al.			1			- ·
	к	5,792,569	08/11/98	Sun et al.			\top	П		
	IL.	5,792,679	08/11/98	Nakato						
	ім	5,796,648	08/18/98	Kawakubo et al.			1			
	IN	5,801,072	09/01/98	Barber	İ		\top			
	Ю	5,812,272	09/22/98	King et al.			1	П	\Box	-
	IΡ	5,814,583	09/98	Itozaki et al.			\top			
	lQ	5,825,055	10/20/98	Summerfelt			1			
	IR	5,827,755	10/27/98	Yonchara et al.			1			
	ıs	5,833,603	11/10/98	Kovacs et al.			\top			
<u> </u>	İΤ	5,838,035	11/17/98	Ramesh						
	lu	5,844,260	12/01/98	Ohori			T			
	IV	5,846,846	12/08/98	Suh et al.			\top			
	w	5,863,326	01/26/99	Nause et al.			_			
	IX	5,872,493	02/16/99	Élla			\top			
	İΥ	5,879,956	03/99	Seon et al.			\top			
	ız	5,880,452	03/09/99	Plesko			\top		\neg	
	JA	5,883,564	03/16/99	Partin			\top			
	JВ	5,907,792	05/25/99	Droopad et al.			1			
	μC	5,937,274	08/10/99	Kondow et al.			\top	┢		
	ΉD	5,948,161	09/07/99	Kizuki			T			
	JΕ	5,959,879	09/28/99	Koo			T		$\neg \sqcap$	
	IJF	5,966,323	10/99	Chen et al.					\sqcap	
	JG	5,987,011	11/16/99	Toh						
	μН	6,022,140	02/08/00	Fraden et al.	$\neg \uparrow$			T		
	μı	6,022,410	02/08/00	Yu et al.					\neg	
	hi)	6,023,082	02/08/00	McKee et al.				П		
	JК	6,028,853	02/22/00	Haartsen					\neg	
	JL	6,049,702	04/11/00	Tham et al.		\neg			$\neg \vdash$	
	μм	6,078,717	06/20/00	Nashimoto et al		┪		T		
	JŃ	6,088,216	07/00	Laibowitz et al.					$\neg \uparrow$	
1	JO	6,090,659	07/00	Laibowitz et al.				1	1	
1 1	JР	6,107,721	08/22/00	Lakin		-		Τ	7	
112/h	JQ /	06,153,019/	11/28/00	Kiyoku et al		7	-	↟	$\overline{}$	

Muhand Hr

MAR 1 4 2003 Form PTO 1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT

ATTY DOCKET NO. 211897US99 SERIAL NO.

APPLICANT

Robert J. HIGGINS, et al.

FILING DATE

GROUP

09/911,496

				July 25, 2001			2814		2814
				U.S. PATENT DOCUMENTS					
XAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	С	LASS		JB ASS	FILING DATE IF APPROPRIATE
	KA	6,153,454	11/28/00	Krivokapic	نے				
19	кв	6,191,011	02/01	Gilboa et al					
7	кс	6,204,737	03/20/01	Ella					
	KD	6,224,669	05/01/01	Yi et al.			П		
	KE	6,225,051	05/01/01	Sugiyama et al.				İ	
	KF	6,241,821	06/05/01	Yu et al.					
	KG	6,265,749	07/24/01	Gardner et al.					
1	кн	6,313,486	11/01	Kencke et al.			П		
	KI	6,316,832	11/13/01	Tsuzuki et al.					
	KJ	2002/0008234	01/02	Emrick			П		
	KK	3,670,213	06/13/72	Nakawaga et al.					
	KL	4,756,007	07/05/88	Qureshi et al.				Ì	
	км	4,773,063	09/20/88	Hunsperger et al.					
	KN	5,394,489	02/28/95	Koch					
	ко	5,406,202	04/11/95	Mehrgardt et al.	$\neg \neg$				
1	KP	5,528,067	06/18/96	Farb et al.	-11				
	KQ	5,572,052	11/05/96	Kashihara et al.			П	Ì	
	KR	5,767,543	06/16/98	Ooms et al.					
	KS	6,175,497	01/16/01	Tseng et al.					
	кт	6,197,503	03/06/01	Vo-Dinh et al.					
	κυ	6,248,459	06/19/01	Wang et al.					
	ΚV	6,252,261	06/26/01	Usul et al.					
1	ĸw	6,255,198	07/03/01	Linthicum et al.					
	кх	6,268,269	07/31/01	Lee et al.				1	
	KY	6,291,319	09/18/01	Yu et al.					
	ΚZ	6,316,785	11/13/01	Nunoue et al.		T		\Box	
	LA.	6,343,171	01/29/02	Yoshimura et al.					
	LB	4,965,649	10/23/90	Zanio et al.		1		T = T	
	LC	6,253,649	05/01	Kawahara et al.				T	
	LD	6,211,096	04/01	Allman et al.					
	LE	6,239,449	05/29/01	Fafard et al.					
	LF	2001/0013313	08/16/01	Droopad et al.		1 -			
	LG	6,184,044	02/06/01	Sone et al.					
	ιн	6,011,646	01/04/00	Mirkarimi et al.					
1	LI	5,227,196	07/13/93	Itoh					
1	ᄓ	6,150,239	11/21/00	Goesele et al.					
	LK	5,441,577	08/15/95	Sasaki et al.					
	ш	4,459,325	07/10/84	Nozawa et al.					
	LM	4,392,297	07/12/83	Little					
	LN	4,289,920	09/15/81	Hovel		T			
	ro	5,281,834	01/25/94	Cambou et al.		7	П		
2 2	P	4,901,133	02/13/90	Curran et al.		T^{-}	П		
11 /b	LQ	5,514,904	05/07/96	Onga et al.			_		

Muhan An

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO. SERIAL NO. 211897US99 09/911,496

APPLICANT LIST OF REFERENCES CITED BY APPLICANT

Robert J. HIGGINS, et al. GROUP

FILING DATE

				July 25, 2001					2814	
				U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	1	DOCUMENT NUMBER	DATE	NAME	CL	ASS		JB ASS	FILING DATE IF APPROPRIATE	
1/1/9	MA	5,553,089	09/03/96	Seki et al.	_		-			
	МВ	5,528,057	06/18/96	Yanagase et al.						
T	MC	6,229,159	05/08/01	Suzuki						
	MD	4,748,485	05/31/88	Vasudev						
7	ME	4,984,043	01/08/91	Vinal						
	MF	5,754,319	05/19/98	Van De Voorde et al.						
	MG	6,108,125	08/22/00	Yano						
	мн	5,073,981	12/17/91	Giles et al.	Ш					
	МІ	5,140,651	08/18/92	Soref et al.						
	MJ	5,610,744	03/11/97	Ho et al.						
	мк	6,362,017	03/26/02	Manabe et al.			П			
	ML	6,242,686	06/05/01	Kishimoto et al.	\prod					
	ММ	5,689,123	11/18/97	Major et al.	П		П			
	MN	5,670,800	09/23/97	Nakao et al.	П					
	МО	5,067,809	11/26/91	Tsubota	П		П			
	MP	5,596,205	01/21/97	Reedy et al.	П		П			
	MQ	6,175,555	01/16/01	Hoole	П		П			
	MR	5 ,357,122	10/18/94	Okubora et al.	Π		П			
	MS	4,084,130	04/11/78	Holton	П		П			
	МТ	6,093,302	07/25/00	Montgomery	\sqcap		П			
	MU	6,372,813	04/16/02	Johnson et al.	П		П			
	MV	5,608,046	03/04/97	Cook et al.	П		П			
	мw	5,955,591	09/21/99	Imbach et al.	П		П			
	МХ	6,022,963	02/08/00	McGall et al.	П		\sqcap		·	
	MY	6,083,697	07/04/00	Beecher et al.	\sqcap		П			
	MZ	5,063,081	11/05/91	Cozzette et al.	П		П			
	NA	5,479,317	12/26/95	Ramesh	П		П			
	NB	5,306,649	04/26/94	Hebert	П		П			
	NC	5,962,069	10/05/99	Schindler et al.	17		П			
	ND	5,541,422	07/30/96	Wolf et al.	\sqcap		Π			
	NE	5,873,977	02/23/99	Desu et al.	П		П		, , , , , , , , , , , , , , , , , , , ,	
	NF	5,538,941	07/23/96	Findikoglu et al.	П		П			
	NG	6,046,464	04/04/00	Schetzina	\sqcap		П			
	NH	6,235,145	05/22/01	Li et al.	\Box					
	NI	5,610,744	03/11/97	Ho et al.						
	NJ	5,280,013	01/18/94	Newman et al.	1					
	NK	6,348,373 B1	02/19/02	Ma et al.						
	NL	6,339,664 B1	01/15/02	Farjady et al.	1	1		T		
	NM	4,439,014	03/27/84	Stacy et al.] _		
	NN	4,889,402	12/26/89	Reinhart				I		
	NO	5,963,291	10/05/99	Wu et al.		Ι		\prod		
	NP	6,011,641	01/04/00	Shin et al.		I		I		
11/1	NQ	6,340,788 B1	01/22/02	King et al.	-		٠.			

Prehand An

(Modified)	P/	U.S. DEPARTMENT OF COMME ATENT AND TRADEMARK OFFIC	~	ATTY DOCKET NO. 211897US99			SER	IAL I	NO. 09/911,496
	6			APPLICANT					03/311,430
MAD HIST	AF REFEE	RENCES CITED BY APP	LICANT	Robert	J. HI	GGINS	S, et a	ıl.	
1	· G		•	FILING DATE			GRO	UP	
<u> </u>	_8_			July 25, 2001					2814
EXAMINE	BACK		ł	U.S. PATENT DOCUMENTS					
EXAMINE INITIAL		DOCUMENT NUMBER	DATE	NAME	c	LASS	SU		FILING DATE IF APPROPRIATE
1111	OA	5,807,440	09/15/98	Kubota et al.		-1	/	_	
77	ОВ	4,681,982	07/21/87	Yoshida	\top				
	oc	4,629,821	12/16/86	Bronstein-Bonte et al.	_	+			
	QD	4,452,720	06/05/84	Harada et al.		1		•	· · · · · · · · · · · · · · · · · · ·
-	OE	3,935,031	01/27/76	Adler	\top				
	OF	5,760,426	06/02/98	Marx et al.	+	1			
	OG	5,053,835	10/01/91	Horlkawa et al.	\top	1			
	ОН	6,326,645 B1	12/04/01	Kadota	十	 			
- -	OI	5,770,887	06/23/98	Tadatomo et al.	+	┪	1-1		<u>.</u>
 	01	6,372,356 B1	04/16/02	Thomton et al.	╅	+	 		
 	OK	4,774,205	09/27/88	Choi et al.	+	+-	 	\vdash	
 						+	 	 	
 	OL	6,359,330 B1	03/19/02	Goudard	+	-	 	╁╌	
 	OM	5,312,765	05/17/94	Kanber	+	 	 	+-	
	ON	5,734,672	03/31/98	McMinn et al.	_			╀	
	00	6,367,699 B2	04/09/02	Ackley	_	\	ļ	 -	
	OP	5,530,235	06/25/96	Stefik et al.	_	<u> </u>	 	↓_	
<u> </u>	00	5,623,552	04/22/97	Lane	\dashv	╄	ļ	<u> </u>	
	OR	5,481,102	01/02/96	Hazelrigg, Jr.	_	 	ļ	 _	
	os	6,134,114	10/17/00	Ungermann et al.	_	1	<u> </u>	<u> </u>	
	ОТ	5,984,190	11/16/99	Nevill				<u> </u>	
	ου	5,789,733	08/04/98	Jachimowicz et al.			<u></u>	┖	
	OV	5,753,300	05/19/98	Wessels et al.					
	ow	6,208,453	03/27/01	Wessels et al.					
	ОХ	5,886,867	03/23/99	Chivukula et al.					
	OY	5,028,976	07/02/91	Ozaki et al.					
	OZ	5,869,845	02/09/99	Vander Wagt et al.		7			
	PA	5,596,214	01/21/97	Endo					
	РВ	6,391,674 B2	05/21/02	Ziegler				T	
	PC	6,275,122 B1	08/14/01	Speidell et al.	\top			T	
	PD	6,238,946 B1	05/29/01	Ziegler	\top	\neg		\top	
 	PE	6,210,988 B1	04/03/01	Howe et al.	十		1	7	
	PF	6,392,257	05/21/02	Ramdani et al.	\top	$\neg \uparrow$	1	1	
 	PG	4,442,590	04/17/84	Stockton et al.	\top	-1-	1	\top	
 	PH	5,603,764	02/18/97	Matsuda et al.	\top	_	1	\top	
 	PI	6,087,681	06/11/00	Shakuda	十	\neg	1	+	
	PJ	5,132,648	07/21/92	Trinh et al.	+	\dashv		T	
 	PK	6,427,066	07/30/02	Grube	+	1		\top	
\vdash	PL	2002/0072245	06/13/02	Ooms et al.	\dashv	-+	†	\top	
	PM	6,278,138 B1	08/21/01	Suzuki	十	-+	+	\dashv	
\vdash	PN	5,888,296	03/30/99	Ooms et al.	+		+-	+	
\vdash	PO	5,198,269	03/30/99	Swartz et al.	\dashv	-+	+	+	
 		2002/0030246	03/3093		+		+-	+	
1 h 1	7 PP		 	Eisenbelser et al.	$-\!\!\!+$	+	+		
<i>∐_{{}_{1}, {_{1}, {_{2}}}_{2}}</i>	/ PQ	2002/0047143	04/25/02	Ramdani et al.	L_				<u> </u>

Miland An

Form PTO 1449 P ENTENT AND TRADEMARK OFFICE ATTY DOCKET NO. SERIAL NO. 211897US99 09/911,496 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Robert J. HIGGINS, et al. MAR 1 4 2003 FILING DATE **GROUP** July 25, 2001 2814 **U.S. PATENT DOCUMENTS** EXAMINEMAN DOCUMENT SUB **FILING DATE** DATE NAME **CLASS** NUMBER **CLASS** IF APPROPRIATE INITIAL QA 5.776.359 07/07/98 Schultz et al. 5,569,953 10/29/96 Kikkawa et al. OB QC 11/10/98 Miyagaki et al. 5,834,362 Wilk et al. 06/19/01 QD 6,248,621 B1 Wemberg et al. QE 5,266,355 11/30/93 QF 6,277,436 B1 08/21/01 Stauf et al. 6,039,803 03/21/00 Fitzgerald et al. QG QH 5,619,051 04/08/97 QI 5,420,102 05/30/95 Harshavardhan et al. QJ Lewis et al. 5,210,763 05/11/93 04/07/92 Mozer QK 5,103,494 QL 06/10/86 Falk et al. 4,594,000 QM 4,297,656 10/27/81 Pan QN 5,244,818 09/14/93 Jokers et al. 6,048,751 04/11/00 D'Asaro et al. QO QP 5,484,664 01/16/96 Kitahara et al. QQ 5,780,311 07/14/98 Beasom et al 08/20/02 Tsukamoto et al. OR 6,438,281 B1 03/21/95 Rostoker os 5,399,898 08/07/01 Yamada et al. QT 6,271,619 QU 5,334,556 08/02/94 Guldi QV 4,910,164 03/20/90 Shichijo QW 4,952,420 08/28/90 Walters 6,121,647 09/19/00 Yano et al. QX 6,306,668 B1 10/23/01 McKee et al. QY QΖ 6.143,366 11/07/00 06/25/02 Taylor et al. 6.410.941 RA RB 5,397,428 03/14/95 Stoner et al. 08/13/02 Ramesh et al. RC 6,432,546 B1 RD 6,345,424 02/12/02 Hasegawa et al. RE 6,338,756 B2 01/15/02 Dietze RF 5,516,725 05/14/96 Chang et al. RG 4,667,212 05/19/87 Nakamura RH 5,629,534 05/13/97 Inuzuka et al. 10/21/75 Huffman et al. RI 3,914,137 05/19/98 RJ 5,753,928 Krause RK 5,977,567 11/02/99 Verdiell RL 5,130,762 07/14/92 Kulick RM 5,621,227 04/15/97 Joshi RN 6,389,209 B1 05/14/02 Suhir RO 11/10/92 5.163.118 Lorenzo et al. RP 5,926,493 07/20/99 O'Brien et al. 06/21/94 RQ 5.323.023 Fork

Arban Or

ATTY DOCKET NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SERIAL NO. Form PTO 1449 211897US99 09/911,496 APPLICANT LIST OF DEFERENCES CITED BY APPLICANT Robert J. HIGGINS, et al. FILING DATE **GROUP** MAR 1 4 2003 July 25, 2001 2814

Ą	9	-		U.S. PATENT DOCUMENTS					
TANKS R		DOCUMENT NUMBER	DATE	NAME	CL	ASS	SL		FILING DATE IF APPROPRIATE
1/1/2	SA	6,156,581	12/05/00	Vaudo et al.	-				
	SB	5,395,663	03/07/95	Tabata et al.					
	SC	4,146,297	03/27/79	Alferness et al.					
	SD	5,452,118	09/19/95	Maruska					
	SE	5,889,296	03/30/99	Imamura et al.					
	SF	6,300,615 B1	10/09/01	Shinohara et al.					
	SG	6,232,910 B1	05/15/01	Bell et al.					
	SH	5,686,741	11/11/97	Ohorl et al.					
	SI	4,959,702	09/25/90	Moyer et al					
	SJ	6,100,578	08/08/00	Suzuki					
	SK	6,410,947 B1	06/25/02	Wada					
	SL	6,417,059 B2	07/09/02	Huang					
	SM	6,461,927 B1	10/08/02	Mochizuki et al.					
	SN	6,462,360 B1	10/08/02	Higgins, Jr. et al.					
	so	5,981,976	11/09/99	Murasato					
	SP	5,981,980	11/09/99	Miyajima et al.					
	SQ	2002/0006245 A1	01/17/02	Kubota et al.					
	SR	2002/0131675 A1	09/19/02	Litvin					
	SS	6,256,426 B1	07/03/01	Duchet		I			
	ST	6,278,523 B1	08/21/01	Gorecki					
	SU	6,319,730 B1	11/20/01	Ramdani et al.		T			
	sv	6,404,027	06/11/02	Hong et al.					
	sw	6,312,819 B1	11/06/01	Jia et al.					
	SX	5,119,448	06/02/92	Schaefer et al.					
	SY	4,120,588	10/17/78	Chaum					
	SZ	5,194,917	03/16/93	Regener					
	TA	5,018,816	05/28/91	Murray et al.					
	ТВ	5,953,468	09/14/99	Finnila et al.					
	TC	5,561,305	10/01/96	Smith					
	TD	5,896,476	04/20/99	Wisseman et al.					
	TE	4,934,777	06/19/90	Jou et al.					
	TF	6,320,238 B1	11/20/01	Kizilyalli et al.					
	TG	6,393,167 B1	05/21/02	Davis et al.			L		
	TH	5,760,427	06/02/98	Onda					
	TI	6,411,756 B2	06/25/02	Sadot et al.					
	TJ	5,668,048	09/16/97	Kondo et al.					
	TK	5,852,687	12/22/98	Wickham					
	TL	5,122,852	06/16/92	Chan et al.					ļ
	ТМ	5,173,835	12/22/92	Cornett et al.					
	TN	5,055,835	10/08/91	Sutton					
	то	6,139,483	10/31/00	Seabaugh et al.				<u> </u>	
	TP	5,283,462	02/01/94	Stengel		$oxed{\Box}$		1	
1119	TQ	6,103,403	08/15/00	Grigorian et al.	-	1	_		
	L	I		l					L

Rulan AM

SERIAL NO. Form PTO 1449 U.S. DEPARTMENT OF COMMERCE ATTY DOCKET NO. PATENT AND TRADEMARK OFFICE 211897US99 09/911,496 MAR 1 4 2003 APPLICANT LIST OF REFERENCES CITED BY APPLICANT Robert J. HIGGINS, et al. GROUP **FILING DATE** 2814 July 25, 2001 **U.S. PATENT DOCUMENTS** DOCUMENT **FILING DATE EXAMINER** SUB DATE NAME **CLASS** IF APPROPRIATE CLASS NUMBER INITIAL UA 5,635,433 06/03/97 Sengupta UB 5,427,988 06/27/95 Sengupta et al. UC 6,297,842 B1 10/02/01 Koizumi et al. UD 5,682,046 10/28/97 Takahashi et al. 5.181.085 01/19/93 Moon et al. UE UF 6,051,858 04/18/00 Uchida et al. 01/11/00 Wallace et al. UG 6,013,553 10/03/89 Morkoc et al. UH 4,872,046 Ramdani et al. UI 2002/0047123 A1 04/25/02 UJ 5,995,528 11/30/99 Fukunaga et al. UK 5,075,743 12/24/91 Behfar-Rad UL 5,438,584 08/01/95 Paoli et al. UM 03/05/85 Nakashima et al. 4,503,540 UN 5,373,166 12/13/94 Buchan et al. 08/21/01 Shimoyama et al. UO 6,278,137 B1 04/22/97 Gotoh et al. UP 5,623,439 Ohno et al. UQ 4,981,714 01/01/91 Seon et al. UR 6,194,753 B1 02/27/01 US 6,326,637 B1 12/04/01 Parkin et al. UT UU UV UW UX UY UZ VA VΒ VC ۷D ٧E VF VG VΗ VI

VJ VK ٧L VM VN VO VP VQ

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Form PTO 1449 ATTY DOCKET NO. SERIAL NO. 211897US99 MAR 1 4 2003 09/911,496 **APPLICANT** LIST OF FERENCES CITED BY APPLICANT Robert J. HIGGINS, et al. **FILING DATE GROUP** July 25, 2001 2814 **U.S. PATENT DOCUMENTS** DOCUMENT DATE COUNTRY TRANSLATION NUMBER YES NO AĀĀ 0 250 171 12/23/87 EΡ AAB 0 342 937 11/23/89 EΡ AAC 0 455 526 06/11/91 EΡ AAD 0 602 568 06/22/94 AAE 0 607 435 EΡ 07/27/94 05/17/00 AAF 1 001 468 EΡ 0 514 018 11/19/92 AAG AAH 0 999 600 EΡ 05/10/00 AAI 1 319 311 06/04/70 Great Britain AAJ 5-291299 11/05/93 Japan w/English Abstract AAK 11-238683 08/31/99 11-260835 09/24/99 Japan w/English Abstract AAM HEI 2-391 01/05/90 Japan w/English Abstract AAN 5-48072 02/26/93 Japan w/English Abstract Japan w/English Abstract AAO 52-88354 07/23/77 Japan w/English Abstract AAP 54-134554 10/19/79 AAQ 55-87424 07/02/80 Japan w/English Abstract AAR 61-108187 05/26/86 Japan w/English Abstract **AAS** 6-232126 08/19/94 Japan 6-291299 10/18/94 Japan w/English Abstract AAT 63-34994 02/15/88 Japan w/English Abstract AAU AAV 63-131104 06/03/88 Japan w/English Abstract 08/17/88 Japan w/English Abstract AAW 63-198365 AAX 10-321943 12/04/98 Japan AAY 6-334168 12/02/94 Japan WO 99/63580 AAZ 12/09/99 WIPO WIPO ABA WO 99/14804 03/25/99 ABB WO 97/45827 12/04/97 WIPO ABC WO 99/19546 04/22/99 WIPO ABD WO 00/33363 06/08/00 WIPO ABE WO 00/48239 08/17/00 WIPO WO 99/14797 03/25/99 WIPO ABF ABG GB 2 335 792 09/29/99 Great Britain ABH 1 109 212 06/20/01 Europe ABI DE 197 12 496 10/30/97 Germany 60-212018 10/24/85 Japan w/English Abstract ABJ 60-210018 10/22/85 Japan w/English Abstract ABK WO 92/10875 06/25/92 **WIPO** ARL ABM 0 682 266 11/15/95 Europe 3-41783 02/91 ABN Japan (English Abstract only) ARO 0 581 239 02/02/94 Europe ABP 0812494 01/16/96 Japan ABQ 2 000 1645 06/16/00 Japan

Man An

ATTY DOCKET NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE SERIAL NO. Form PTO 1449 (Modified) 211897US99 09/911,496 IAR 1 4 2003 **APPLICANT** LIST OF RE ERENCES CITED BY APPLICANT Robert J. HIGGINS, et al. FILING DATE **GROUP** July 25, 2001 2814 **U.S. PATENT DOCUMENTS** TRANSLATION DOCUMENT DATE COUNTRY NUMBER YES NO 10/11/00 BAA 1 043 426 Europe BAB 2000-068466 03/00 Japan (Abstract) BAC 64-50575 02/27/89 Japan WO 98/05807 01/12/98 WIPO BAD WO 94/03908 WIPO BAE 02/17/94 BAF WO 01/33585 05/10/01 WIPO 1-102435 04/20/89 Japan w/English Abstract BAG BAH 52-135684 11/12/77 Japan (English Abstract) 02051220 02/21/90 BAI Japan (English Abstract) BAJ 11135614 05/21/99 Japan (w/English Abstract) 64-52329 02/28/89 Japan (w/English Abstract) BAK 09/25/98 BAL 10-256154 Japan (w/English Abstract) BAM DE 196 07 107 08/28/97 Germany BAN 10-303396 11/13/98 Japan (w/English Abstract) 58-213412 12/12/83 Japan w/English Abstract BAO 0 964 259 BAP 12/15/99 Europe 11/04/98 BAQ 0 875 922 Europe Japan w/English Abstract BAR 61-63015 04/01/86 BAS 11340542 12/10/99 Japan (English Abstract) WIPO WO 01/37330 05/25/01 BAT Europe BAU 0 331 467 09/06/89 WO 00/16378 03/23/00 WIPO BAV 0 926 739 06/30/99 BAW Europe BAX 0 964 453 12/15/99 Europe Japan w/English Abstract BAY 5-152529 06/18/93 BAZ 9-67193 03/11/97 Japan w/English Abstract Japan w/English Abstract BBA 9-82913 03/28/97 BBB 0 309 270 03/29/89 Europe BBC EP 0 957 522 11/17/99 Europe BBD EP 0 810 666 12/03/97 BBĘ 1-179411 07/17/89 Japan w/English Abstract BBF DE 100 17 137 10/26/00 **GERMANY** WO 02 01648 01/03/02 WIPO BBG ввн WO 02/33385 A2 04/25/02 WIPO BBI WO 01/59814 A2 08/16/01 WO 02/09160 A2 01/31/02 WIPO BBJ 02/10/00 WIPO BBK WO 00/06812 BBL 0 483 993 05/06/92 Europe ввм 0 538 611 04/28/93 Europe WIPO BBN WO 01/59820 A1 08/16/01 вво 05150143 06/18/93 Japan (English Abstract only) BBP 2 779 843 12/17/99 France bα 04/06/93 BBQ 5-086477 Japan (English Abstract only)

Mahandon

ATTY DOCKET NO. U.S. DEPARTMENT OF COMMERCE ATENT AND TRADEMARK OFFICE SERIAL NO. Form PTO 1449 MAR 1 4 2003 211897US99 09/911,496 **APPLICANT** LIST OF REPRENCES CITED BY APPLICANT Robert J. HIGGINS, et al. FILING DATE GROUP DADEMARY July 25, 2001 2814 **FOREIGN PATENT DOCUMENTS** DOCUMENT DATE COUNTRY TRANSLATION NUMBER YES NO 52-89070 07/26/77 Japan CAA xx CAB EP 1 069 606 01/17/01 Europe CAC WO 02/03113 01/10/02 WIPO CAD WO 02/03467 01/10/02 WIPO CAE 0 630 057 12/21/94 EUROPE 61-36981 02/21/86 Japan w/English Abstract CAF CAG WO 93/07647 04/15/93 Japan w/English Abstract CAH 2002-9366 01/11/02 CAL EP 0 881 669 12/02/98 Europe 01/10/02 WIPO WO 02/03480 CAJ WO 02/50879 WIPO 06/27/02 CAK EP 0 777 379 06/04/97 Europe CAL WIPO CAM WO 01/04943 A1 01/18/01 CAN WO 02/47127 A2 06/13/02 WIPO CAO JP 58-075868 05/07/83 Japan w/English Abstract CAP EP 0 993 027 . 04/12/00 Europe EP 0 711 853 05/15/96 Europe ÇAQ WO 98/20606 05/14/98 WIPO CAR EP 1 043 765 10/11/00 CAS Europe 0 300 499 01/25/89 Europe CAT EP 1 085 319 03/21/01 CAU Europe WIPO WO 01/16395 03/08/01 CAV CAW 2000-351692 12/19/00 Japan w/English Abstract 08/16/91 Japan (English Abstract only) CAX 03-188619 Japan (English Abstract only) CAY 63-289812 11/28/88 CAZ EP 0 884 767 12/16/98 Europe CBA 03/11/94 Japan (English Abstract only) 06-069490 WIPO CBB WO 01/59821 A1 08/16/01 CBC CBD CBE CBF CBG СВН CBI CBJ СВК CBL СВМ CBN CBO CBP CBQ

Radaw A M

ATTY DOCKET NO. SERIAL NO. SERIAL NO. SERIAL NO. SUPPLICANT WAS 1 4 2003 SERIAL NO. SERIAL NO. SERIAL NO. SUPPLICANT Robert J. HIGGINS, et al. FILLING DATE PROBERT NO. SERIAL NO. SUPPLICANT Robert J. HIGGINS, et al. FILLING DATE SUPPLICANT OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) OCAA Natagewere et al., "Effects of Buffer Layers in Epitaxial Growth of StriCo, Thin Film on Si(100), J. Appl. Phys., 78 (12), December 15, 1995, pp. 7226-7230. CCAB Suzuki et al., "A Proposal of Epitaxial Oxide Thin Film Structures For Future Oxide Electronics," Materials Science and Engineering B41, (1996), pp. 166-173. CCAC W. F. Egelhoff et al., "Optimizing GMR Spin Valves: The Outdook for Improved Properties", 1998 Int Non Volatile Memory Technology Conference, pp. 34-37. CCAD Wang et al., "Processing and Performance of Piezoelectric Films", Univ. Of MD, Wilcoxon Research Cot, and Motorola Laba, May 11, 2000. CCAE M. Rotter et al., "Nonlinear Acoustice Wave Propagation on Lead Zirconste Titanate Thin Films," Appl. Phys. Left. 52 (9), Feb. 29, 1988, pp. 709-711. CCAG M. Rotter et al., "Single Chip Fused Hybrids for Acousto-Electric and Acousto-Optic Applications," 1997 Applied Physics Letters, Vol. 7016, April 21, 1997, pp. 2097-2099. CCAH A. Mansingh et al., "Surface Acoustic Wave Propagation in PZT/YBCO/SrTiO ₃ and PDTiO ₃ YBCO/SrTiO ₃ Epitaxial Heterostructures," Famoelectric, Vol. 224, pages 273-282, 1998. CCAI S. Mathews et al., "Foropeas of GaAs on SI Grown by Molecular Beam Epitaxy," Solid State and Materials Sciences, Vol. 16, Appl. Phys. Lefter, Vol. 76, No. 14, Aprl. 2000, pp. 184-1886. CCAA Carlin et al., "Impact of GaAs Buffer Thickness on Electronic Quality of GaAs Grown on Graded GerGeSi Substrates, Appl. Phys. Lefter, Vol. 76, No. 14, Aprl. 2000, pp. 184-1886. CCAA Carlin et al., "Torques in Compound-Semiconductors on Silicon-Heteroepitaxy with Fluoride Buffer Layers," J. Electrochem Soc., Vol.	01	PE			SHEET 15 OF 23
APPLICANT Robert J. HIGGINS, et al. FILING DATE OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) December 15, 1996, pp. 7226-7230. CCAB Plategaperar et al., "Effects of Buffer Layers in Epitaxial Growth of SrTiO; Thin Film on Si(100), J. Appl. Phys., 78 (12), December 15, 1996, pp. 7226-7230. CCAB Suzuki et al., "A Proposal of Epitaxial Oxide Thin Film Shuctures For Future Oxide Electronics," Materials Science and Engineering 841, (1996), pp. 166-173. CCAC W. F. Egeihoff et al., "Optimizing GMR Spin Valves: The Outlook for Improved Properties", 1998 Int Non Volatile Memory Technology Conference, pp. 34-37. CCAC Wang et al., "Processing and Performance of Piezoelectric Films", Univ. Of MD, Wilcoxon Research Col, and Motorola Labs, May 11, 2000. CCAE M. Rotter et al., "Nonlinear Acoustice Veave Propagation on Lead Zinconate Titanate Thin Films," Appl. Phys. Lett. 52 (9), Feb. 29, 1998, pp. 709-711. CCAC M. Rotter et al., "Surface Acoustic Wave Propagation on Lead Zinconate Titanate Thin Films," Appl. Phys. Lett. 52 (9), Feb. 29, 1998, pp. 709-711. CCAC M. Rotter et al., "Surface Acoustic Wave Propagation on Lead Zinconate Titanate Thin Films," Appl. Phys. Lett. 52 (9), Feb. 29, 1998, pp. 709-711. CCAC M. A Manafing et al., "Surface Acoustic Wave Propagation in PZT/MBCO/SrTiO ₃ and PDTiO ₃ YBCO/SrTiO ₃ Epitaxial Heterostructures," Faroelectric, Vol. 224, pages 275-282, 1999. CCAH A. Manafing et al., "Surface Acoustic Wave Propagation in PZT/MBCO/SrTiO ₃ and PDTiO ₃ YBCO/SrTiO ₃ Epitaxial Heterostructures," Faroelectric, Vol. 224, pages 275-282, 1999. CCAI S. Mathews et al., "Foreselectric Field Effect Transistor Based on Epitaxial Perovskille Heterostructures," Science, Vol. 276, April 11, 1997, pp. 238-240. CCAI S. F. F. Fang et al., "Gallistim Arsenide and Other Compound Semiconductors on Silicon," J. Appl. Phys., 68(7), October 1, 1990, pp. 81-114. CCAC S. F. F. Fang et al., "Gallistim Arsenide and Other Compound Semiconductors on Silicon," J. Appl. Phys., 68(7), Octobe	Form PTO 1	1449	S. DEPARTMENT OF COMMERCE	ATTY DOCKET NO.	. SERIAL NO.
IST OF REFERENCES CITED BY APPLICANT FILING DATE July 25, 2001 CCAA Alaxagamara et al., "Effects of Buffer Layers in Epitaxial Growth of SriTio, Thin Film on Si(100), J. Appl. Phys., 78 (12), December 15, 1996, pp. 7226-7230. CCAB Suzuki et al., "A Proposal of Epitaxial Oxide Thin Film Structures For Future Oxide Electronics," Meterials Science and Engineering 471, (1996), pp. 166-173. CCAC W. F. Egeinoff et al., "Opimizing GMR Spin Valves: The Outlook for Improved Properties", 1998 Int1 Non Volatile Memory Technology Conference, pp. 34-37. CCAD Wang et al., "Processing and Performance of Plezoelecutic Films", Univ. Of MD, Wilcoxon Research Col, and Motorola Labs, May 11, 2000 CCAE M. Rotter et al., "Nonlinear Acoustoelectric Interactions in GaAs/LinbbO ₃ Sbuctures", Applied Physics Letters, Vol. 75(7), August 16, 1999, pp. 965-967. CCAF K. Sreenivas et al., "Surface Acoustic Wave Propagation on Lead Zirconate Titanate Thin Films," Appl. Phys. Lett. 52 (9), Feb. 23, 1998, pp. 799-711. CCAG M. Rotter et al., "Single Chip Fused Hybrids for Acousto-Electric and Acousto-Optic Applications," 1997 Applied Physics Letters, Vol. 70(16), April 21, 1997, pp. 2097-2099. CCAH A. Mansingh et al., "Surface Acoustic Wave Propagation in PZT/YBCO/SriTiO ₃ and PbTiO ₃ YYBCO/SriTiO ₃ Epitaxial Heterostructures," Ferroelectric, Vol. 224, pages 275-225. 1999. CCAI S. Mathrews at al., "Ferroelectric, Vol. 224, pages 275-225. 1999. CCAI S. Mathrews at al., "Ferroelectric, Vol. 224, pages 276-225. 1999. CCAI R. Houdre et al., "Properties of GaAs on SI Grown by Molecular Beam Epitaxy," Solid State and Meterials Sciences, Vol. 16, 1999, pp. 238-240. CCAI CCAI R. Houdre et al., "Properties of GaAs on SI Grown by Molecular Beam Epitaxy," Solid State and Meterials Sciences, Vol. 16, 1990, pp. R1-14. CCAC Can Televine et al., "Properties of GaAs on SI Grown by Molecular Beam Epitaxy," Solid State and Meterials Sciences, Vol. 16, 1990, pp. 191-14. CCAC Can Televine et al., "Ferroelectric, Vol. 250, pp. 110-1	n ·	P.	ASENT AND TRADEMARK OFFICE	211897US99	09/911,496
CCAA Natagawara et al., "Effects of Buffer Layers in Epitaxial Growth of S/TIO ₃ Thin Film on Si(100), <i>J. Appl. Phys.</i> , 78 (12), December 15, 1995, pp. 7226-7230. CCAB Suzuk et al., "A Proposal of Epitaxial Oxide Thin Film Structures For Future Oxide Electronics," <i>Materials Science and Engineering B41</i> , (1996), pp. 166-173. CCAC W. F. Egelhoff et al., "Optimizing GMR Spin Valves: The Outdook for Improved Properties", 1998 Int1 Non Volatile Memory Technology Conference, pp. 34-37. CCAD Wang et al., "Processing and Performance of Piezoelectric Films", Univ. Of MD, Wilcoxon Research Col, and Motorola Labs, May 11, 2000. CCAE M. Rotter et al., "Nonlinear Acoustoelectric Interactions in GaAs/LinbO ₃ Structures", <i>Applied Physics Letters</i> , Vol. 75(7), August 16, 1999, pp. 965-967. CCAF K. Smenivas et al., "Surface Acoustic Wave Propagation on Lead Zirconate Titanate Thin Films," <i>Appl. Phys. Lett.</i> 52 (9), Feb. 29, 1998, pp. 709-711. CCAG M. Rotter et al., "Singlie Chip Fused Hybrids for Acousto-Electric and Acousto-Optic Applications," 1997 <i>Applied Physics Letters</i> , Vol. 70(16), April 21, 1997, pp. 2097-2099. CCAH A. Mansingh et al., "Surface Acoustic Wave Propagation in PZT/YBCO/SrTIO ₃ and PbTiO ₃ /YBCO/SrTIO ₃ Epitaxial Heterostructures," Ferroelectric, Vol. 224, pages 275-282. 1999. CCAI S. Mathews et al., "Ferroelectric Field Effect Transistor Based on Epitaxial Perovskite Heterostructures", Science, Vol. 276, April 11, 1997, pp. 236-240. CCAJ R. Houtire et al., "Properties of GaAs on SI Grown by Molecular Beam Epitaxy," <i>Solid State and Materials Sciences</i> , Vol. 16, Ssue 2, 1990, pp. 91-114. CCAK S. F. Fang et al., "Gallium Arsenide and Other Compound Semiconductors on Silicon," <i>J. Appl. Phys.</i> , 68(7), October 1, 1990, pp. R31-R58. CCAL Carlin et al., "Impact of GaAs Buffer Thickness on Electronic Quality of GaAs Grown on Graded Ge/GeSi/Si Substrates, <i>Appl. Phys. Letter</i> , Vol. 76, No. 14, April 2000, pp. 1884-1886. CCAM Ringe et al., "Progress in Compound-Semiconductor-on-Silicon-Heteroepi	e MAR	1 4 2000	병	APPLICANT	
CCAA Natagawara et al., "Effects of Buffer Layers in Epitaxial Growth of S/TIO ₃ Thin Film on Si(100), <i>J. Appl. Phys.</i> , 78 (12), December 15, 1995, pp. 7226-7230. CCAB Suzuk et al., "A Proposal of Epitaxial Oxide Thin Film Structures For Future Oxide Electronics," <i>Materials Science and Engineering B41</i> , (1996), pp. 166-173. CCAC W. F. Egelhoff et al., "Optimizing GMR Spin Valves: The Outdook for Improved Properties", 1998 Int1 Non Volatile Memory Technology Conference, pp. 34-37. CCAD Wang et al., "Processing and Performance of Piezoelectric Films", Univ. Of MD, Wilcoxon Research Col, and Motorola Labs, May 11, 2000. CCAE M. Rotter et al., "Nonlinear Acoustoelectric Interactions in GaAs/LinbO ₃ Structures", <i>Applied Physics Letters</i> , Vol. 75(7), August 16, 1999, pp. 965-967. CCAF K. Smenivas et al., "Surface Acoustic Wave Propagation on Lead Zirconate Titanate Thin Films," <i>Appl. Phys. Lett.</i> 52 (9), Feb. 29, 1998, pp. 709-711. CCAG M. Rotter et al., "Single Chip Fused Hybrids for Acousto-Electric and Acousto-Optic Applications," 1997 Applied Physics Letters, Vol. 70(16), April 21, 1997, pp. 2097-2099. CCAH A. Mansingh et al., "Surface Acoustic Wave Propagation in PZTY/BCO/S/TIO ₃ and PbTiO ₃ /YBCO/S/TIO ₃ Epitaxial Heterostructures," Ferroelectric, Vol. 224, pages 275-282, 1999. CCAI S. Mathews et al., "Ferroelectric Field Effect Transistor Based on Epitaxial Perovskile Heterostructures", Science, Vol. 276, April 11, 1997, pp. 236-240. CCAJ R. Houtire et al., "Properties of GaAs on SI Grown by Molecular Beam Epitaxy," Solid State and Materials Sciences, Vol. 16, Ssue 2, 1990, pp. 91-114. CCAK S. F. Fang et al., "Gallium Arsenide and Other Compound Semiconductors on Silicon," J. Appl. Phys., 68(7), October 1, 1990, pp. R31-R58. CCAL Carlin et al., "Impact of GaAs Buffer Thickness on Electronic Quality of GaAs Grown on Graded Ge/GeSi/Si Substrates, Appl. Phys. Letter, Vol. 76, No. 14, April 2000, pp. 1884-1886. CCAM Ringe et al., "Epitaxial Integration of Ili-V Materials and Devices with SI Usi	LIST	OF REFE	PENCES CITED BY APPLICANT	Robert J. HI	GGINS, et al.
CCAA Natagawara et al., "Effects of Buffer Layers in Epitaxial Growth of S/TIO ₃ Thin Film on Si(100), <i>J. Appl. Phys.</i> , 78 (12), December 15, 1995, pp. 7226-7230. CCAB Suzuk et al., "A Proposal of Epitaxial Oxide Thin Film Structures For Future Oxide Electronics," <i>Materials Science and Engineering B41</i> , (1996), pp. 166-173. CCAC W. F. Egelhoff et al., "Optimizing GMR Spin Valves: The Outdook for Improved Properties", 1998 Int1 Non Volatile Memory Technology Conference, pp. 34-37. CCAD Wang et al., "Processing and Performance of Piezoelectric Films", Univ. Of MD, Wilcoxon Research Col, and Motorola Labs, May 11, 2000. CCAE M. Rotter et al., "Nonlinear Acoustoelectric Interactions in GaAs/LinbO ₃ Structures", <i>Applied Physics Letters</i> , Vol. 75(7), August 16, 1999, pp. 965-967. CCAF K. Smenivas et al., "Surface Acoustic Wave Propagation on Lead Zirconate Titanate Thin Films," <i>Appl. Phys. Lett.</i> 52 (9), Feb. 29, 1998, pp. 709-711. CCAG M. Rotter et al., "Singlie Chip Fused Hybrids for Acousto-Electric and Acousto-Optic Applications," 1997 <i>Applied Physics Letters</i> , Vol. 70(16), April 21, 1997, pp. 2097-2099. CCAH A. Mansingh et al., "Surface Acoustic Wave Propagation in PZT/YBCO/SrTIO ₃ and PbTiO ₃ /YBCO/SrTIO ₃ Epitaxial Heterostructures," Ferroelectric, Vol. 224, pages 275-282. 1999. CCAI S. Mathews et al., "Ferroelectric Field Effect Transistor Based on Epitaxial Perovskite Heterostructures", Science, Vol. 276, April 11, 1997, pp. 236-240. CCAJ R. Houtire et al., "Properties of GaAs on SI Grown by Molecular Beam Epitaxy," <i>Solid State and Materials Sciences</i> , Vol. 16, Ssue 2, 1990, pp. 91-114. CCAK S. F. Fang et al., "Gallium Arsenide and Other Compound Semiconductors on Silicon," <i>J. Appl. Phys.</i> , 68(7), October 1, 1990, pp. R31-R58. CCAL Carlin et al., "Impact of GaAs Buffer Thickness on Electronic Quality of GaAs Grown on Graded Ge/GeSi/Si Substrates, <i>Appl. Phys. Letter</i> , Vol. 76, No. 14, April 2000, pp. 1884-1886. CCAM Ringe et al., "Progress in Compound-Semiconductor-on-Silicon-Heteroepi	185°	2م	3		
CCAA Natagawara et al., "Effects of Buffer Layers in Epitaxial Growth of S/TIO ₃ Thin Film on Si(100), <i>J. Appl. Phys.</i> , 78 (12), December 15, 1995, pp. 7226-7230. CCAB Suzuk et al., "A Proposal of Epitaxial Oxide Thin Film Structures For Future Oxide Electronics," <i>Materials Science and Engineering B41</i> , (1996), pp. 166-173. CCAC W. F. Egelhoff et al., "Optimizing GMR Spin Valves: The Outdook for Improved Properties", 1998 Int1 Non Volatile Memory Technology Conference, pp. 34-37. CCAD Wang et al., "Processing and Performance of Piezoelectric Films", Univ. Of MD, Wilcoxon Research Col, and Motorola Labs, May 11, 2000. CCAE M. Rotter et al., "Nonlinear Acoustoelectric Interactions in GaAs/LinbO ₃ Structures", <i>Applied Physics Letters</i> , Vol. 75(7), August 16, 1999, pp. 965-967. CCAF K. Smenivas et al., "Surface Acoustic Wave Propagation on Lead Zirconate Titanate Thin Films," <i>Appl. Phys. Lett.</i> 52 (9), Feb. 29, 1998, pp. 709-711. CCAG M. Rotter et al., "Single Chip Fused Hybrids for Acousto-Electric and Acousto-Optic Applications," 1997 Applied Physics Letters, Vol. 70(16), April 21, 1997, pp. 2097-2099. CCAH A. Mansingh et al., "Surface Acoustic Wave Propagation in PZTY/BCO/S/TIO ₃ and PbTiO ₃ /YBCO/S/TIO ₃ Epitaxial Heterostructures," Ferroelectric, Vol. 224, pages 275-282, 1999. CCAI S. Mathews et al., "Ferroelectric Field Effect Transistor Based on Epitaxial Perovskile Heterostructures", Science, Vol. 276, April 11, 1997, pp. 236-240. CCAJ R. Houtire et al., "Properties of GaAs on SI Grown by Molecular Beam Epitaxy," Solid State and Materials Sciences, Vol. 16, Ssue 2, 1990, pp. 91-114. CCAK S. F. Fang et al., "Gallium Arsenide and Other Compound Semiconductors on Silicon," J. Appl. Phys., 68(7), October 1, 1990, pp. R31-R58. CCAL Carlin et al., "Impact of GaAs Buffer Thickness on Electronic Quality of GaAs Grown on Graded Ge/GeSi/Si Substrates, Appl. Phys. Letter, Vol. 76, No. 14, April 2000, pp. 1884-1886. CCAM Ringe et al., "Epitaxial Integration of Ili-V Materials and Devices with SI Usi	The state of the s	INFMARIL		July 25, 2001	2814
CCAB Natagawara et al., "Effects of Buffer Layers in Epitaxial Growth of SrTIO ₃ Thin Film on Si(100), <i>J. Appl. Phys.</i> , 78 (12), December 15, 1995, pp. 7228-7230. CCAB Suzuki et al., "A Proposal of Epitaxial Oxide Thin Film Structures For Future Oxide Electronics," <i>Materials Science and Engineering B41</i> , (1996), pp. 166-173. CCAC W. F. Egelhoff et al., "Optimizing GMR Spin Valves: The Outlook for Improved Properties", 1998 Int1 Non Volatile Memory Technology Conference, pp. 34-37. CCAD Wang et al., "Processing and Performance of Piezoelectric Films", Univ. Of MD, Wilcoxon Research Col, and Motorola Labs, May 11, 2000. CCAE M. Rotter et al., "Nonlinear Acoustoelectric Interactions in GaAs/LiNbO ₃ Structures", <i>Applied Physics Letters</i> , Vol. 75(7), August 16, 1999, pp. 965-967. CCAF K. Sreenivas et al., "Surface Acoustic Wave Propagation on Lead Zirconate Titanate Thin Films," <i>Appl. Phys. Lett.</i> 52 (9), Feb. 29, 1998, pp. 709-711. CCAG M. Rotter et al., "Single Chip Fused Hybrids for Acousto-Electric and Acousto-Optic Applications," <i>1997 Applied Physics Letters</i> , Vol. 70(16), April 21, 1997, pp. 2097-2099. CCAH A. Mansingh et al., "Surface Acoustic Wave Propagation in PZTY/BCO/SrTiO ₃ and PDTiO ₃ /YBCO/SrTiO ₃ Epitaxial Heterostructures," <i>Fermelectric</i> , Vol. 224, pages 275-282. 1999. CCAI S. Mathews et al., "Ferroelectric Field Effect Transistor Based on Epitaxial Perovskite Heterostructures", Science, Vol. 276, April 11, 1997, pp. 238-240. CCAI R. Houtire et al., "Properties of GaAs on SI Grown by Molecular Beam Epitaxy," <i>Solid State and Materials Sciences</i> , Vol. 16, ssue 2, 1990, pp. 91-114. CCAK S. F. Fang et al., "Gallium Arsenide and Other Compound Semiconductors on Silicon," <i>J. Appl. Phys.</i> , 68(7), October 1, 1990, pp. 775-779. CCAL Carlin et al., "impact of GaAs Buffer Thickness on Electronic Quality of GaAs Grown on Graded Ge/GeSI/SI Substrates, <i>Appl. Phys.</i> , Letter, Vol. 76, No. 14, April 2000, pp. 1884-1886. CCAM Ringel et al., "Progress in Compound-Semiconductor-on-Silicon-Heter			OTHER REFERENCES	Including Author, Title, Date, Pertinent Pa	ages, etc.)
Engineering B41, (1998), pp. 166-173. CCAC W. F. Egelhoff et al., "Optimizing GMR Spin Valves: The Outlook for Improved Properties", 1998 Int1 Non Volatile Memory Technology Conference, pp. 34-37. CCAD Wang et al., "Processing and Performance of Plezoelectric Films", Univ. Of MD, Wilcoxon Research Col, and Motorola Labs, May 11, 2000. CCAE M. Rotter et al., "Nonlinear Acoustoelectric Interactions in GaAs/LiNbO ₃ Structures", Applied Physics Letters, Vol. 75(7), August 16, 1999, pp. 965-967. CCAF K. Sreenivas et al., "Surface Acoustic Wave Propagation on Lead Zirconate Titanate Thin Films," Appl. Phys. Lett. 52 (9), Feb. 29, 1998, pp. 709-711. CCAG M. Rotter et al., "Single Chip Fused Hybrids for Acousto-Electric and Acousto-Optic Applications," 1997 Applied Physics Letters, Vol. 70(16), Aprll 21, 1997, pp. 2097-2099. CCAH A. Mansingh et al., "Surface Acoustic Wave Propagation in PZT/YBCO/SrTiO ₃ and PbTiO ₃ Y9BCO/SrTiO ₃ Epitaxial Helerostructures," Ferroelectric, Vol. 224, pages 275-202, 1999. CCAH S. Mathews et al., "Ferroperties of GaAs on SI Grown by Molecular Beam Epitaxy," Solid State and Materials Sciences, Vol. 16, sause 2, 1990, pp. 91-114. CCAK S. F. Fang et al., "Gallium Arsenide and Other Compound Semiconductors on Silicon," J. Appl. Phys., 68(7), October 1, 1990, pp. R31-R58. CCAM S. F. Fang et al., "Epitaxial Integration of III-V Materials and Devices with Si Using Graded GeSI Buffers," 27" International Symposium on Compound Semiconductors, Oct. 2000. CCAN Zogg et al., "Progers in Compound-Semiconductor-on-Silicon-Heteroepitaxy with Fluoride Buffer Layers," J. Electrochem Soc., Vol. 136, No. 3, March 1998, pp. 775-779. CCAO Xiong et al., "Coxide Defined GaAs Vertical-Cavity Surface-Emitting Lasers on SI Substrates," IEEE Photonics Technology Letters, Vol. 136, No. 3, March 1998, pp. 775-779. CCAO Coxider et al., "Impact of GaAs Vertical-Cavity Surface-Emitting Lasers on SI Substrates," IEEE Photonics Technology Letters, Vol. 12, No. 2, Feb. 2000, pp. 110-112. CCAO Gunapala et al.,	113	CCAA	Nakagawara et al., "Effects of Buffer		
Technology Conference, pp. 34-37. CCAD Wang et al., "Processing and Performance of Piezoelectric Films", Univ. Of MD, Wilcoxon Research Col, and Motorola Labs, May 11, 2000. CCAE M. Rotter et al., "Nonlinear Acoustoelectric Interactions in GaAs/LiNbO ₃ Structures", Applied Physics Letters, Vol. 75(7), August 16, 1999, pp. 965-967. CCAF K. Sreenivas et al., "Surface Acoustic Wave Propagation on Lead Zirconate Titanate Thin Films," Appl. Phys. Lett. 52 (9), Feb. 29, 1998, pp. 709-711. CCAG M. Rotter et al., "Single Chip Fused Hybrids for Acousto-Electric and Acousto-Optic Applications," 1997 Applied Physics Letters, Vol. 70(16), April 21, 1997, pp. 2097-2099. CCAH A. Mansingh et al., "Surface Acoustic Wave Propagation in PZT/YBCO/SrTiO ₃ and PbTiO ₃ /YBCO/SrTiO ₃ Epitaxial Heterostructures," *Ferroelectric, Vol. 224, pages 275-282, 1999. CCAI S. Mathews et al., "Ferroelectric, Vol. 224, pages 275-282, 1999. CCAI R. Houdre et al., "Properties of GaAs on Si Grown by Molecular Beam Epitaxy," *Solid State and Materials Sciences, Vol. 16, Issue 2, 1990, pp. 81-114. CCAK S. F. Fang et al., "Gallium Arsenide and Other Compound Semiconductors on Sillcon," J. Appl. Phys., 68(7), October 1, 1990, pp. 831-R58. CCAL Carfin et al., "Impact of GaAs Buffer Thickness on Electronic Quality of GaAs Grown on Graded Ge/GeSUSI Substrates, Appl. Phys. Letter, Vol. 76, No. 14, April 2000, pp. 184-1886. CCAM Ringel et al., "Epitaxial Integration of III-V Materials and Devices with Si Using Graded GeSi Buffers," 27 th International Symposium on Compound-Semiconductor-on-Silicon-Heteroepitaxy with Fluoride Buffer Layers," J. Electrochem Soc., Vol. 13, No. 3, March 1998, pp. 775-779. CCAO Sinnapala et al., "Progress in Compound-Semiconductor-on-Silicon-Heteroepitaxy with Fluoride Buffer Layers," J. Electrochem Soc., Vol. 13, No. 3, March 1998, pp. 775-779. CCAO Sinnapala et al., "Bound-To-Quasi-Bound Quantum-Well Infrared Photodetectors," NASA Tech Brief, Vol. 22, No. 9, Septembsy, 1998.		CCAB	Suzuki et al., "A Proposal of Epitaxial Engineering B41, (1996), pp. 166-173	Oxide Thin Film Structures For Future Oxid 3.	e Electronics," Materials Science and
CCAE M. Rotter et al., "Nonlinear Acoustoelectric Interactions in GaAs/LiNbO ₃ Structures", <i>Applied Physics Letters</i> , Vol. 75(7), August 16, 1999, pp. 965-967. CCAF K. Sreenivas et al., "Surface Acoustic Wave Propagation on Lead Zirconate Titanate Thin Films," <i>Appl. Phys. Lett.</i> 52 (9), Feb. 29, 1998, pp. 709-711. CCAG M. Rotter et al., "Single Chip Fused Hybrids for Acousto-Electric and Acousto-Optic Applications," 1997 <i>Applied Physics Letters</i> , Vol. 70(16), April 21, 1997, pp. 2097-2099. CCAH M. Mansingh et al., "Surface Acoustic Wave Propagation in PZT/YBCO/SrTiO ₃ and PbTiO ₃ /YBCO/SrTiO ₃ Epitaxial Heterostructures," <i>Ferroelectric</i> , Vol. 224, pages 275-282, 1999. CCAI S. Mathews et al., "Ferroelectric Fleid Effect Transistor Based on Epitaxial Perovskite Heterostructures", Science, Vol. 276, April 11, 1997, pp. 238-240. CCAJ R. Houdre et al., "Properties of GaAs on Si Grown by Molecular Beam Epitaxy," <i>Solid State and Materiats Sciences</i> , Vol. 16, Issue 2, 1990, pp. 91-114. CCAK S. F. Fang et al., "Gallium Arsenide and Other Compound Semiconductors on Silicon," <i>J. Appl. Phys.</i> , 68(7), October 1, 1990, pp. R31-R58. CCAL Carlin et al., "Impact of GaAs Buffer Thickness on Electronic Quality of GaAs Grown on Graded Ge/GeSi/Si Substrates, <i>Appl. Phys. Letter</i> , Vol. 76, No. 14, April 2000, pp. 1884-1886. CCAM Zogg et al., "Progress in Compound-Semiconductors, Oct. 2000. CCAN Zogg et al., "Progress in Compound-Semiconductor-on-Silicon-Heteroepitaxy with Fluoride Buffer Layers," <i>J. Electrochem Soc.</i> , Vol. 136, No. 3, March 1998, pp. 775-779. CCAO Zing et al., "Oxide Defined GaAs Vertical-Cavity Surface-Emitting Lasers on Si Substrates," <i>IEEE Photonics Technology Letters</i> , Vol. 12, No. 2, Feb. 2000, pp. 110-112. CCAP Glem et al., "Investigation of IPZI/ILSCO/IPU/Aerogel Thin Film Composites for Uncooled Pyroelectric IR Detectors," <i>Mat. Res. Soc. Symp. Proc.</i> , Vol. 541, pp. 681-666, 1999. Date Considered Date Considered Date Considered Date Considered Date Considered Date Considered Date		CCAC		R Spin Valves: The Outlook for Improved Pr	operties", 1998 Int1 Non Volatile Memory
August 16, 1999, pp. 965-967. CCAF K. Sresnivas et al., "Surface Acoustic Wave Propagation on Lead Zirconate Titanate Thin Films," Appl. Phys. Lett. 52 (9), Feb. 29, 1998, pp. 709-711. CCAG M. Rotter et al., "Single Chip Fused Hybrids for Acousto-Electric and Acousto-Optic Applications," 1997 Applied Physics Letters, Vol. 70(16), April 21, 1997, pp. 2097-2099. CCAH A. Mansingh et al., "Surface Acoustic Wave Propagation in PZT/YBCO/SrTiO3 and PbTiO3/YBCO/SrTiO3 Epitaxial Heterostructures," Ferroelectric, Vol. 224, pages 275-282, 1999. CCAH S. Mathews et al., "Ferroelectric Field Effect Transistor Based on Epitaxial Perovskite Heterostructures", Science, Vol. 276, April 11, 1997, pp. 238-240. CCAJ R. Houdre et al., "Properties of GaAs on SI Grown by Molecular Beam Epitaxy," Solid State and Materials Sciences, Vol. 16, Issue 2, 1990, pp. 91-114. CCAK S. F. Fang et al., "Gallium Arsenide and Other Compound Semiconductors on Silicon," J. Appl. Phys., 68(7), October 1, 1990, pp. R31-R58. CCAL Carlin et al., "Impact of GaAs Buffer Thickness on Electronic Quality of GaAs Grown on Graded Ge/GeSi/Si Substrates, Appl. Phys. Letter, Vol. 76, No. 14, April 2000, pp. 1884-1886. CCAM Ringel et al., "Epitaxial Integration of III-V Materials and Devices with SI Using Graded GeSI Buffers," 27th International Symposium on Compound Semiconductors, Oct. 2000. CCAN Zogg et al., "Progress in Compound-Semiconductor-on-Silicon-Heteroepitaxy with Fluoride Buffer Layers," J. Electrochem Soc., Vol. 136, No. 3, March 1998, pp. 775-779. CCAO Zing et al., "Toxide Defined GaAs Vertical-Cavity Surface-Emitting Lasers on SI Substrates," IEEE Photonics Technology Letters, Vol. 12, No. 2, Feb. 2000, pp. 110-112. CCAP Ciem et al., "Investigation of PZTI/LSCO/PVI/Aerogel Thin Film Composites for Uncooled Pyroelectric IR Detectors," Mat. Res. Soc. Symp. Proc., Vol. 541, pp. 661-666, 1999. Paternier: Initial & Teleferbe is considered American Paternier or not citation is in conformance with MPEP 609; Draw line through citation if not/in		CCAD		nance of Piezoelectric Films", Univ. Of MD, \	Wilcoxon Research Col, and Motorola Labs,
Feb. 29, 1998, pp. 709-711. CCAG M. Rotter et al., "Single Chip Fused Hybrids for Acousto-Electric and Acousto-Optic Applications," 1997 Applied Physics Letters, Vol. 70(16), April 21, 1997, pp. 2097-2099. CCAH A. Mansingh et al., "Surface Acoustic Wave Propagation in PZT/YBCO/SrTiO ₃ and PbTiO ₃ YBCO/SrTiO ₃ Epitaxial Heterostructures," Ferroelectric, Vol. 224, pages 275-282, 1999. CCAI S. Mathews et al., "Ferroelectric Field Effect Transistor Based on Epitaxial Perovskite Heterostructures", Science, Vol. 276, April 11, 1997, pp. 238-240. CCAJ R. Houdre et al., "Properties of GaAs on Si Grown by Molecular Beam Epitaxy," Solid State and Materials Sciences, Vol. 16, Issue 2, 1990, pp. 91-114. CCAK S. F. Fang et al., "Gallium Arsenide and Other Compound Semiconductors on Silicon," J. Appl. Phys., 68(7), October 1, 1990, pp. R31-R59. CCAL Carlin et al., "Impact of GaAs Buffer Thickness on Electronic Quality of GaAs Grown on Graded Ge/GeSi/Si Substrates, Appl. Phys. Letter, Vol. 76, No. 14, April 2000, pp. 1884-1886. CCAM Ringel et al., "Epitaxial Integration of III-V Materials and Devices with Si Using Graded GeSi Buffers," 27 th International Symposium on Compound Semiconductors, Oct. 2000. CCAN Zogg et al., "Progress in Compound-Semiconductor-on-Silicon-Heteroepitaxy with Fluoride Buffer Layers," J. Electrochem Soc., Vol. 136, No. 3, March 1998, pp. 775-779. CCAO Xiong et al., "Doxido Defined GaAs Vertical-Cavity Surface-Emitting Lasers on Si Substrates," IEEE Photonics Technology Letters, Vol. 12, No. 2, Feb. 2000, pp. 110-112. CCAP Clem et al., "Investigation of PZTI/LSCO//Pu/Aerogel Thin Film Composites for Uncooled Pyroelectric IR Detectors," Mat. Res. Soc. Symp. Proc., Vol. 541, pp. 661-666, 1999. Examiner: Initial M feterence is considered Amether or not citation is in conformance with MPEP 609, Draw line through citation if not/in		CCAE	M. Rotter et al., "Nonlinear Acoustoel August 16, 1999, pp. 965-967.	ectric Interactions in GaAs/LiNbO ₃ Structure	es", Applied Physics Letters, Vol. 75(7),
Letters, Vol. 70(16), April 21, 1997, pp. 2097-2099. CCAH A. Mansingh et al., "Surface Acoustic Wave Propagation in PZT/YBCO/SrTiO ₃ and PbTiO ₃ YBCO/SrTiO ₃ Epitaxial Heterostructures," Ferroelectric, Vol. 224, pages 275-282, 1999. CCAI S. Mathews et al., "Ferroelectric Field Effect Transistor Based on Epitaxial Perovskite Heterostructures", Science, Vol. 276, April 11, 1997, pp. 238-240. CCAJ R. Houdre et al., "Properties of GaAs on SI Grown by Molecular Beam Epitaxy," Solid State and Materials Sciences, Vol. 16, Issue 2, 1990, pp. 91-114. CCAK S. F. Fang et al., "Gallium Arsenide and Other Compound Semiconductors on Silicon," J. Appl. Phys., 68(7), October 1, 1990, pp. R31-R58. CCAL Carlin et al., "Impact of GaAs Buffer Thickness on Electronic Quality of GaAs Grown on Graded Ge/GeSi/Si Substrates, Appl. Phys. Letter, Vol. 76, No. 14, April 2000, pp. 1884-1886. CCAM Ringel et al., "Epitaxial Integration of IIII-V Materials and Devices with SI Using Graded GeSi Buffers," 27 th International Symposium on Compound Semiconductor-on-Silicon-Heteroepitaxy with Fluoride Buffer Layers," J. Electrochem Soc., Vol. 136, No. 3, March 1998, pp. 775-779. CCAO Xiong et al., "Progress in Compound-Semiconductor-on-Silicon-Heteroepitaxy with Fluoride Buffer Layers," J. Electrochem Soc., Vol. 136, No. 3, March 1998, pp. 775-779. CCAO CCAP Clem et al., "investigation of PZT/ILSCO//Pt//Aerogel Thin Film Composites for Uncooled Pyroelectric IR Detectors," Mal. Res. Soc. Symp. Proc., Vol. 541, pp. 661-666, 1999. CCAQ Gunapala et al., "Bound-To-Quasi-Bound Quantum-Well Infrared Photodetectors," NASA Tech Brief, Vol. 22, No. 9, September, 1998. Examiner Date Considered Pater for feteric et sconsidered whether or not citation is in conformance with MPEP 609; Draw line through citation in Invin		CCAF		Wave Propagation on Lead Zirconate Titan	ate Thin Films," Appl. Phys. Lett. 52 (9),
Heterostructures," Ferroelectric, Vol. 224, pages 275-282, 1999. CCAI S. Mathews et al., "Ferroelectric Field Effect Transistor Based on Epitaxial Perovskite Heterostructures", Science, Vol. 276, April 11, 1997, pp. 238-240. CCAJ R. Houdre et al., "Properties of GaAs on SI Grown by Molecular Beam Epitaxy," Solid State and Materials Sciences, Vol. 16, Issue 2, 1990, pp. 91-114. CCAK S. F. Fang et al., "Gallium Arsenide and Other Compound Semiconductors on Silicon," J. Appl. Phys., 68(7), October 1, 1990, pp. R31-R58. CCAL Carlin et al., "Impact of GaAs Buffer Thickness on Electronic Quality of GaAs Grown on Graded Ge/GeSi/Si Substrates, Appl. Phys. Letter, Vol. 76, No. 14, April 2000, pp. 1884-1886. CCAM Ringel et al., "Epitaxial Integration of III-V Materials and Devices with Si Using Graded GeSi Buffers," 27th International Symposium on Compound Semiconductors, Oct. 2000. CCAN Zogg et al., "Progress in Compound-Semiconductor-on-Silicon-Heteroepitaxy with Fluoride Buffer Layers," J. Electrochem Soc., Vol. 136, No. 3, March 1998, pp. 775-779. CCAO Xiong et al., "Oxide Defined GaAs Vertical-Cavity Surface-Emitting Lasers on Si Substrates," IEEE Photonics Technology Letters, Vol. 12, No. 2, Feb. 2000, pp. 110-112. CCAP Clem et al., "Investigation of PZTI/LSCO//PU/Aerogel Thin Film Composites for Uncooled Pyroelectric IR Detectors," Mat. Res. Soc. Symp. Proc., Vol. 541, pp. 661-666, 1999. CCAQ Gunapala et al., "Bound-To-Quasi-Bound Quantum-Well Infrared Photodetectors," NASA Tech Brief, Vol. 22, No. 9, September, 1998. Examiner: Date Considered Provide Infrared Photodetectors, "NASA Tech Brief, Vol. 22, No. 9, September, 1998.		CCAG			tic Applications," 1997 Applied Physics
April 11, 1997, pp. 238-240. CCAJ R. Houdre et al., "Properties of GaAs on Si Grown by Molecular Beam Epitaxy," Solid State and Materials Sciences, Vol. 16, Issue 2, 1990, pp. 91-114. CCAK S. F. Fang et al., "Gallium Arsenide and Other Compound Semiconductors on Sillicon," J. Appl. Phys., 68(7), October 1, 1990, pp. R31-R58. CCAL Carlin et al., "Impact of GaAs Buffer Thickness on Electronic Quality of GaAs Grown on Graded Ge/GeSi/Si Substrates, Appl. Phys. Letter, Vol. 76, No. 14, April 2000, pp. 1884-1886. CCAM Ringel et al., "Epitaxial Integration of III-V Materials and Devices with Si Using Graded GeSi Buffers," 27 th International Symposium on Compound Semiconductors, Oct. 2000. CCAN Zogg et al., "Progress in Compound-Semiconductor-on-Silicon-Heteroepitaxy with Fluoride Buffer Layers," J. Electrochem Soc., Vol. 136, No. 3, March 1998, pp. 775-779. CCAO Xiong et al., "Oxide Defined GaAs Vertical-Cavity Surface-Emitting Lasers on Si Substrates," IEEE Photonics Technology Letters, Vol. 12, No. 2, Feb. 2000, pp. 110-112. CCAP Clem et al., "Investigation of PZT//LSCO//PU//Aerogel Thin Film Composites for Uncooled Pyroelectric IR Detectors," Mat. Res. Soc. Symp. Proc., Vol. 541, pp. 661-666, 1999. CCAQ Gunapala et al., "Bound-To-Quasi-Bound Quantum-Well Infrared Photodetectors," NASA Tech Brief, Vol. 22, No. 9, September, 1998. Examiner: Initial M Esterence is considered whether or not citation is in conformance with MPEP 609; Draw line through citation if not/in		CCAH			nd PbTiO ₃ /YBCO/SrTiO ₃ Epitaxial
Issue 2, 1990, pp. 91-114. CCAK S. F. Fang et al., "Gallium Arsenide and Other Compound Semiconductors on Silicon," J. Appl. Phys., 68(7), October 1, 1990, pp. R31-R58. CCAL Carlin et al., "Impact of GaAs Buffer Thickness on Electronic Quality of GaAs Grown on Graded Ge/GeSi/Si Substrates, Appl. Phys. Letter, Vol. 76, No. 14, April 2000, pp. 1884-1886. CCAM Ringel et al., "Epitaxial Integration of III-V Materials and Devices with Si Using Graded GeSi Buffers," 27th International Symposium on Compound Semiconductors, Oct. 2000. CCAN Zogg et al., "Progress in Compound-Semiconductor-on-Silicon-Heteroepitaxy with Fluoride Buffer Layers," J. Electrochem Soc., Vol. 136, No. 3, March 1998, pp. 775-779. CCAO Xiong et al., "Oxide Defined GaAs Vertical-Cavity Surface-Emitting Lasers on Si Substrates," IEEE Photonics Technology Letters, Vol. 12, No. 2, Feb. 2000, pp. 110-112. CCAP Clem et al., "Investigation of PZT//LSCO//PV/Aerogel Thin Film Composites for Uncooled Pyroelectric IR Detectors," Mat. Res. Soc. Symp. Proc., Vol. 541, pp. 661-666, 1999. CCAQ Gunapala et al., "Bound-To-Quasi-Bound Quantum-Well Infrared Photodetectors," NASA Tech Brief, Vol. 22, No. 9, September, 1998. Examiner: Initial & reference is considered whether or not citation is in conformance with MPEP 609; Draw line through citation if not/in		CCAI		l Effect Transistor Based on Epitaxial Perovo	skite Heterostructures", Science, Vol. 276,
1990, pp. R31-R58. CCAL Carlin et al., "Impact of GaAs Buffer Thickness on Electronic Quality of GaAs Grown on Graded Ge/GeSi/Si Substrates, Appl. Phys. Letter, Vol. 76, No. 14, April 2000, pp. 1884-1886. CCAM Ringel et al., "Epitaxial Integration of III-V Materials and Devices with Si Using Graded GeSi Buffers," 27th International Symposium on Compound Semiconductors, Oct. 2000. CCAN Zogg et al., "Progress in Compound-Semiconductor-on-Silicon-Heteroepitaxy with Fluoride Buffer Layers," J. Electrochem Soc., Vol. 136, No. 3, March 1998, pp. 775-779. CCAO Xiong et al., "Oxide Defined GaAs Vertical-Cavity Surface-Emitting Lasers on Si Substrates," IEEE Photonics Technology Letters, Vol. 12, No. 2, Feb. 2000, pp. 110-112. CCAP Clem et al., "Investigation of PZT//LSCO//Pt//Aerogel Thin Film Composites for Uncooled Pyroelectric IR Detectors," Mat. Res. Soc. Symp. Proc., Vol. 541, pp. 661-666, 1999. CCAQ Gunapala et al., "Bound-To-Quasi-Bound Quantum-Well Infrared Photodetectors," NASA Tech Brief, Vol. 22, No. 9, September, 1998. Examiner Date Considered Total Infrared Photodetectors," NASA Tech Brief, Vol. 22, No. 9, September, 1998.		CCAJ		on SI Grown by Molecular Beam Epitaxy," S	Solid State and Materials Sciences, Vol. 16,
Appl. Phys. Letter, Vol. 76, No. 14, April 2000, pp. 1884-1886. CCAM Ringel et al., "Epitaxial Integration of III-V Materials and Devices with SI Using Graded GeSi Buffers," 27 th International Symposium on Compound Semiconductors, Oct. 2000. CCAN Zogg et al., "Progress in Compound-Semiconductor-on-Silicon-Heteroepitaxy with Fluoride Buffer Layers," J. Electrochem Soc., Vol. 136, No. 3, March 1998, pp. 775-779. CCAO Xiong et al., "Oxide Defined GaAs Vertical-Cavity Surface-Emitting Lasers on SI Substrates," IEEE Photonics Technology Letters, Vol. 12, No. 2, Feb. 2000, pp. 110-112. CCAP Clem et al., "Investigation of PZT//LSCO//Pt//Aerogel Thin Film Composites for Uncooled Pyroelectric IR Detectors," Mat. Res. Soc. Symp. Proc., Vol. 541, pp. 661-666, 1999. CCAQ Gunapala et al., "Bound-To-Quasi-Bound Quantum-Well Infrared Photodetectors," NASA Tech Brief, Vol. 22, No. 9, September, 1998. Examiner Date Considered The Initial Materians of Initial Materians o		CCAK		and Other Compound Semiconductors on Sil	icon," J. Appl. Phys., 68(7), October 1,
Symposium on Compound Semiconductors, Oct. 2000. CCAN Zogg et al., "Progress in Compound-Semiconductor-on-Silicon-Heteroepitaxy with Fluoride Buffer Layers," J. Electrochem Soc., Vol. 136, No. 3, March 1998, pp. 775-779. CCAO Xiong et al., "Oxide Defined GaAs Vertical-Cavity Surface-Emitting Lasers on Si Substrates," IEEE Photonics Technology Letters, Vol. 12, No. 2, Feb. 2000, pp. 110-112. CCAP Clem et al., "Investigation of PZT//LSCO//Pt//Aerogel Thin Film Composites for Uncooled Pyroelectric IR Detectors," Mat. Res. Soc. Symp. Proc., Vol. 541, pp. 661-666, 1999. CCAQ Gunapala et al., "Bound-To-Quasi-Bound Quantum-Well Infrared Photodetectors," NASA Tech Brief, Vol. 22, No. 9, September 1998. Date Considered "Examiner: Initial If reterence is considered whether or not citation is in conformance with MPEP 609; Draw line through citatign if not/in		CCAL			own on Graded Ge/GeSi/Si Substrates,
Soc., Vol. 136, No. 3, March 1998, pp. 775-779. CCAO Xiong et al., "Oxide Defined GaAs Vertical-Cavity Surface-Emitting Lasers on Si Substrates," IEEE Photonics Technology Letters, Vol. 12, No. 2, Feb. 2000, pp. 110-112. CCAP Clem et al., "Investigation of PZT//LSCO//Pt//Aerogel Thin Film Composites for Uncooled Pyroelectric IR Detectors," Mat. Res. Soc. Symp. Proc., Vol. 541, pp. 661-666, 1999. CCAQ Gunapala et al., "Bound-To-Quasi-Bound Quantum-Well Infrared Photodetectors," NASA Tech Brief, Vol. 22, No. 9, September, 1998. Date Considered "Examiner: Initial If reference is considered whether or not citation is in conformance with MPEP 609; Draw line through citatign if not in		CCAM	Ringel et al., "Epitaxial Integration of Symposium on Compound Semicond	III-V Materials and Devices with Si Using Gr fuctors, Oct. 2000.	aded GeSi Buffers," 27 th International
Letters, Vol. 12, No. 2, Feb. 2000, pp. 110-112. CCAP Clem et al., "Investigation of PZT//LSCO//Pt//Aerogel Thin Film Composites for Uncooled Pyroelectric IR Detectors," Mat. Res. Soc. Symp. Proc., Vol. 541, pp. 661-666, 1999. CCAQ Gunapala et al., "Bound-To-Quasi-Bound Quantum-Well Infrared Photodetectors," NASA Tech Brief, Vol. 22, No. 9, September 1998. Examiner: Initial M reference is considered whether or not citation is in conformance with MPEP 609; Draw line through citatign if not in		CCAN	Zogg et al., "Progress in Compound- Soc., Vol. 136, No. 3, March 1998, pp	Semiconductor-on-Silicon-Heteroepitaxy with p. 775-779.	n Fluoride Buffer Layers," J. Electrochem
Res. Soc. Symp. Proc., Vol. 541, pp. 661-666, 1999. CCAQ Gunapala et al., "Bound-To-Quasi-Bound Quantum-Well Infrared Photodetectors," NASA Tech Brief, Vol. 22, No. 9, September 1998. Examiner Date Considered 2 3 5 Examiner: Initial M reference is considered whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		CCAO	Xiong et al., "Oxide Defined GaAs Ve Letters, Vol. 12, No. 2, Feb. 2000, pp	ertical-Cavity Surface-Emitting Lasers on SI 5 . 110-112.	Substrates,* IEEE Photonics Technology
Examiner: Initial & reference is considered whether or not citation is in conformance with MPEP 609; Draw line through citation if not in		CCAP	Res. Soc. Symp. Proc., Vol. 541, pp.	661-666, 1999.	
Examiner: Initial if reference is considered whether or not citation is in conformance with MPEP 609; Draw line through citatign if not in	MA	CCAQ		ound Quantum-Well Infrared Photodetectors	," NASA Tech Brief, Vol. 22, No. 9,
Examiner: Initial Preference is considered whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	ll'	//	i bask Ath		121131
	*Examine conformation	r: Initial of r	sterence is considered. Whether or not considered. Include copy of this form	t citation is in conformance with MPEP 609; a with next communication to applicant.	Draw line through citation if not in

OIP	5/			SHEET 16 OF 23
Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE LENT AND TRADEMARK OFFICE	ATTY DOCKET NO.	SERIAL NO.
	2003	BERT AND TRADEMARK OFFICE	211897US99	09/911,496
A LIST OF	REFR	ENCES CITED BY APPLICANT	APPLICANT Robert J. HIGGINS	S et al
2.0.0		SERVED ON ES DI AIT EIGAN	FILING DATE	GROUP
CADEM	BRILL		July 25, 2001	2814
MAR 1 4		OTUED DESERVACES	Unallydian Arthur Title Date Destinant Days a	A- \
			(Including Author, Title, Date, Pertinent Pages, e SaAs-on-silicon Wave Infrared Detector Arrays." <i>Intr</i>	
KV3	L	Vol. 2999, pp. 211-224.		
		Bruley et al., "Nanostructure and Che 566.	mistry of a (100)MgO/(100) GaAs Interface,* Appl.	Phys Lett, 65(5), Aug. 1994, pp. 564-
		Fork et al., "Epitaxial MgO On Si(001) May 20, 1991, pp. 2294-2296.) for Y-Ba-Cu-O Thin Film Growth by Pulsed Laser	Deposition," Appl. Phys Lett., 58(20),
	DDAD	Himpsel et al., "Dialectrics on Semico	onductors," Materials Science and Engineering, B1(1988), pp. 9-13.
	DDAE	Li et al., "Epitaxial La _{0.67} Sr _{0.33} MnO ₃ N	Magnetic Tunnel Junctions," J. Appl. Phys. 81(8), Appl. Phys. Phys. Phys. Phys. Phys. Phys. Phys. Phys. Phys. Phys. Phys. Phys.	pr. 15, 1997, pp. 5509-5511.
		O'Donnell et al., "Colossal Magnetore Letters, Vol. 76, No. 14, April 3, 2000	esistance Magnetic Tunnel Junctions Grown by Mol , pp. 1914-1916.	ecular-Beam Epitaxy," Appl. Physics
		Mikami et al., "Formation of SI Epi/Mg Laboratories and Microelectronics La	$gO-Al_2O_3$ Epi./SiO ₃ /Si and Its Epitaxial Film Quality, boratories, pp. 31-34, 1983.	* Fundamental Research
	DDAH	T. Asano et al., "An Epitaxial Si/Insula Vol. 93 (1982), pp. 143-150.	ator/Si Structure Prepared by Vacuum Deposition o	f CaF ₂ and Sillcon," <i>Thin Solid Films</i> ,
		T. Chikyow et al., "Reaction and Regi Phys. Lett., Vol. 65, No. 8, 22 August	rowth Control of CeO_2 on SI(111) Surface for the Si 1994 , pp. 1030-1032.	licon-On-Insulator Structure," Appl.
	DDAJ	J.F. Kang, et al., "Epitaxial Growth of State Communications, Vol. 108, No.	$CeO_2(100)$ Films on Si(100) Substrates by Dual load, pp. 225-227, 1998.	n Beams Reactive Sputtering," Solid
	DDAK	R.A. Morgan et al., "Vertical-Cavity S	urface-Emitting Lasers Come of Age," SPIE, Vol. 2	683, pp. 18-29.
	DDAL	Technical Analysis of Qualcomm QCQCP-800 Technical Analysis Report,	CP-800 Portable Cellular Phone (Transmitter Circuit December 10, 1996, pp. 5-8.	try)," Talus Corporation, Qualcomm
		2000; pp. 633-638	STATICALLY-ACTUATED MEMS SWITCH FOR PO	
		Fabricated by SIMOX Technology"; It	e Mobility Enhancement in Strained-Si MOSFET's on EEE ELECTRON DEVICE LETTERS, VOL. 21. NO), 5, MAY 2000; pp. 230-232
		Electronics, 2000, Book of Abstracts,	ence of electron transport in bulk Si and deep-subm , IWCE Glasgow 2000, 7th Int'l Workshop on, 2000;	pp. 64-65
	1		ect transistor (PEFET) using In _{0.2} Ga _{0.8} As/Al _{0.35} Ga _{0.} trate"; ELECTRONICS LETTERS, 12 TH Ma 1994, V	
11/19	DDAQ	Kihong KIM, et al." On-Chip Wireless	Interconnection with Integrated Antennas"; 2000 IE	EEE; pp. 20.2.1-20.3.4
Examiner	1//	Colomb Ah	Date Con	sidered /2/13/DJ
			t citation is in conformance with MPEP 609; Draw line with next communication to applicant.	ne through citation if not in

OIPE				SHEET 17 OF 23
Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE	ATTY DOCKET NO.	SERIAL NO.
MAR 1 4 20			211897US99 APPLICANT	09/911,496
LIST OF	REAL	RENCES CITED BY APPLICANT	Robert J. HIGGINS	S et al
ار عادی ا		1211020 01120 0 7 7 1 2 10 1 3 1 1	FILING DATE	I GROUP
CADEM			July 25, 2001	2814
1000		OTHER RESERVES	(Including Author, Title, Date, Pertinent Pages,	10.)
2	EEAA		O SINGLE CHIP, DIRECT CARRIER BPSK MODUL	
163			1998 IEEE MTT-S DIGEST; pp. 305-308	
	EEAB	Mau-Chung Frank CHANG, et al.; "R IEEE, Vol. 89, No. 4, April 2001; pp.	F/Wireless Interconnect for Inter- and Intra-Chip Co 456-466	mmunications"; Proceedings of the
	EEAC	The Electronics Industry Report; Pris	mark; 2001; pp. 111-120	
	EEAD	J.K. ABROKWAH, et al.; "A Manufac	turable Complementary GaAs Process*; GaAs IC S	symposium, IEEE, 1993; pp. 127-130
	EEAE	H. Nagata, "A Preliminary Consideral Solid Films, 224, 1993, pp. 1-3.	tion of the Growth Behaviour of CeO ₂ , SrTiO ₃ and S	GrVO ₃ Films on Si Substrate," <i>Thin</i>
	EEAF		h of $CeO_2(001)$ Films on Si(001) Substrates by Puls I. 30, No. 6B, June 1991, pp. L1136-L1138.	sed Laser Deposition in Ultrahigh
	EEAG	Kado et al., "Heteroepitaxial Growth o	of SrO Films on Si Substrates," J. Appl. Phys., 61(6), March 15, 1987, pp. 2398-2400.
	EEAH	H. Ishiwara et al., "Epitaxial Growth of Proceedings, Vol. 220, pp. 595-600,	of Perovskite Type Oxide Films on Substrates"; <i>Mat</i> April 29 - May 3, 1991.	erials Research Symposium
	EEAI		able High-Speed Low-Power Complementary GaAs slid State Devices and Materials, Yokohama, 1994,	
	EEAJ	C.J. Palmstrom et al.; "Stable and E Fundamentals and Technology; Noyl	pitaxial Contacts to III-V Compound Semiconductor es Publications, 1993; pp.67-150	s"; Contacts to Semiconductors
			omicron three-dimensional infrared GaAs/Al _x O _y -bas S LETTERS, VOLUME 78, NUMBER 20, 14 MAY 2	
	EEAL	Philip BALL; "The Next Generation o	f Optical Fibers"; Technology Review, May 2001; pp	o.55-61
	EEAM	John D. JOANNOPOULOS, et al.; "N	folding the Flow of Light"; Photonic Crystals; Prince	ton University Press, 1995
		Thomas F. KRAUSS, et al.; "Photoni Electronics 23 (1999) 51-96	c crystals in the optical regime - past, present and f	uture"; Progress in Quantum
	EEAO	G. H. JIN, et al.; "PLZT Film Wavegu No. 6. June 2000; pp.807-812	uide Mach-Zehnder Electrooptic Modulator"; Journal	of Lightwave Technology, Vol. 18,
	EEAP	D E 400NEO -1-1-100	Nelling audiens H. I. Man. Oct. Technick D. Mat. & A.	la 4 Juliana 4007: 020 044
11/19	EEAQ	D.E. ASPNES, et al.; "Steps on (001 D.M. NEWNS, et al.; "Mott transition AUGUS7) 1998, pp.780-782) silicon surfaces"; J. Vac. Sci. Technol. B, Vol. 5, N field effect transistor"; APPLIED PHYSICS LETTER	RS, VOLUME 73, NUMBER 6, 10
Examiner		Weller Sund	Date Con	
*Examiner: In conformance	itial if re and no	eference is considered, whether or no t considered. Include copy of this form	t citation is in conformance with MPEP 609; Draw line with next communication to applicant.	ne through dtation/if not in

OIPE				SHEET 18 OF 23
Form PTO 1449	AS!	U.S. DEPARTMENT OF COMMERCE	ATTY DOCKET NO.	SERIAL NO.
(Modified) MAR 1 4 20		ATENT AND TRADEMARK OFFICE	211897US99	09/911,496
11 '	- 63	RENCES CITED BY APPLICANT	APPLICANT Robert J. HIGGINS	s et al
		RENCES CITED BY AFFEIGAN	FILING DATE	GROUP
MADEMA	34.5		July 25, 2001	2814
300		ATUED DESCRIPTION	Manualina Andrea Titi Data Bartia at Barria	4-2
	FFAA		(Including Author, Titl , Date, Pertin nt Pages, Vaveguide Grating Multiplexer/Demultiplexer'; Janu	
K45				
	FFAB	Hisashi SHICHIJO, et al.; "Co-Integra VOL. 9, NO. 9, SEPTEMBER 1988; p	ation of GaAs MESFET and SI CMOS Circuits"; IEE	EE ELECTRON DEVICE LETTERS,
	FFAC	H. SHICHIJO, et al.; "GaAs MESFET - 239-242	and Si CMOS Cointegration and Circuit Technique	es"; 1988 IEEE; GaAs IC Symposium
	FFAD	H. SHICHIJO, et al.; "Monolithic Proc	sess for Co-Integration of GaAs and Silicon Circuits	"; 1988 IEEE; pp.778-781
			nulti-quantum wells at 1.3 m wavelength on GaAs on NUMBER 20, 18 MAY 1998; pp.2598-2600	compliant substrates*; APPLIED
	FFAF		phic InAlAs/InGaAs Enhancement Mode HEMT's c L. 20, NO. 10, OCTOBER 1999; pp.507-509	on GaAs Substrates"; IEEE
		Technical Center, Toyota Motor Corp		
		Digest; pp.1733-1736	w-Loss, Planar Monolithic Baluns for K/Ka-Band Ap	
	FFAI	Arnold Leitner et al; "Pulsed Laser Do and Borocarbides; Mixed Session, W	eposition of Superconducting Strontium Titanate The Vednesday Afternoon; March 19 1997; Room 1202	nin-Films";; Session K11-Thin Films B, Conv. Center (Abstract)
	FFAJ	R.D. VISPUTE; "High quality optoele Thin Solid Films 299 (1997), pp.94-1	ctronic grade epitaxial AIN films on -Al ₂ 0 ₃ , Si and 6 03	6H-SiC by pulsed laser deposition";
		temperature monocrystalline AIN buf	films deposited via organometallic vapor phase epi fer layers" 320 Applied Physics Letters, Vol. 67, No	o. 3, 17 July 1995, ppl401-403
	FFAL	Z. YU, et al.; "Epitaxial oxide thin film	ns on SI(001)*"; J. Vac. Sci. Technol. B. Vol. 18, No	o. 4, Jul/Aug 2000; pp.2139-2145
			ectric Smoke Detectors - How They Work; 2001	
		ON COMPONENTS, PACKAGING, A	H-SIC Temperature Sensor Operational from 25 C AND MANUFACTURING TECHNOLOGY - PART A	A, VOL. 19, NO. 3, SEPTEMBER
		Hill, Inc., 1994; Chapter Twenty Seve		
		March 2001; pp. 37-42	s of Semiconductor Optical Amplifiers in Optical Ne	
123	FFAQ	D.A. FRANCIS, et al.; "A single-chip	linear optical amplifier"; OFC, 2001; March 17-22,	2001
Examiner	1//	Weller & IV	Date Cor	nsidered 12 13 10+
*Examiner: Ir conformance	itial if re	eference is considered, whether or no t considered. Include copy of this form	t citation is in conformance with MPEP 609; Draw I n with next communication to applicant.	ine through citation if not/in

101	PE			SHEET 19 OF 23
Farm PTO		S. DEPARTMENT OF COMMERCE	ATTY DOCKET NO.	SERIAL NO.
(Modified)	I K MED	RENT AND TRADEMARK OFFICE	211897US99	09/911,496
	` - 1	9	APPLICANT	
LIST	OF REFE	ENCES CITED BY APPLICANT	Robert J. HIGGIN	
V	- ART		FILING DATE	GROUP
79	ADER		July 25, 2001	2814
		OTHER REFERENCES	(Including Author, Title, Date, Pertinent Pages,	etc.)
~ 7	GGAA		of zintl-phase Ca(Si1-xGex)2"; Journal of Crystal	
1216	2			
	GGAB	Peter S. GUILFOYLE, et al.; "Optoe Photonics Design and Applications	electronic Architecture for High-Speed Switching a Handbook; pp. H-399-H-406	nd Processing Applications*; 1998 The
	GGAC		nometallic Vapor-Phase Epitaxy: Theory and Practical Engineering, University of Utah; Academic Pr	
	GGAD	M.A. HERMAN, et al.; "Molecular B 1989, 1996	eam Epitaxy Fundamentals and Current Status"; S	Springer-Verlag Berlin Heidelberg,
	GGAE	Integration of GaAs on Si Using a	Spinel Buffer Layer", IBM Technical Bulletin, Vol. 3	30, No. 6, Nov. 1987, p. 365.
	GGAF	"GalnAs Superconducting FET," IBI	M Technical Bulletin, Vol. 36, No. 8, Aug. 1993, p.	655-656.
	GGAG	*Epitaxial 3d Structure Using Mixed	Spinels," IBM Technical Bulletin, Vol. 30, No. 3, A	Nug. 1987, p. 1271.
	GGAH	Moon et al., "Roles of Buffer Layers 33, March 1994, pp. 1472-1477.	In Epitaxial Growth of SrTiO ₃ Films on Silicon Su	bstrates," Japan J of Appl. Phys., Vol.
	GGAI	Yodo et al., GaAs Heteroepitaxial G 8257b Journal of Vacuum Science	Frowth on SI Substrates with Thin Si Interlayers in & Technology, 1995 May/June, Vol. 13, No. 3, pp.	situ Annealed at High Temperatures,* 1000-1005.
	GGAJ	Cuomo et al., "Substrate Effect on (the Superconductivity of YBa ₂ Cu ₃ O ₇ Thin Films," /	AIP Conference 1988, pp. 141-148.
	GGAK	McKee et al., "Crystalline Oxides or 1998, pp. 3014-3017.	n Silicon: The First Five Monolayers," Physical Re	view Letters, Vol. 81, No. 14, Oct.
	GGAL	1991 American Institute of Physics		
	GGAM	Vol. 37, 1998, pp. 4454-4459.	axy Growth of SrTIO ₃ Films on Si(100)-2x1 with Sr	
	GGAN	<i>Proc.</i> , Vol. 341, April 1994, pp. 309		
	GGAO	McKee et al., "BaSi₂ and Thin Film	Alkaline Earth Silicides on Silicon," Appl. Phys. Le	ett., 63 (20), Nov. 1993, pp. 2818-2820.
	GGAP	McKee et al., "Surface Structures a Symp. Proc., Vol. 221, pp. 131-136	nd the Orthorhombic Transformation of Thin Film	BaSi ₂ on Silicon," <i>Mat. Res. Soc.</i>
12	GGAG	Brian A. FLOYD, et al.; "The project Conventional Distribution Systems"	ted Power Consumption of a Wireless Clock Distr ; IEEE, 1999; pp. IITC99-249-IITC99-250	1)
Examine	r //	11/41/1X (80)	Date Co	onsidered 2/3/65
*Examine	er: Initial if re	eference is considered, whether or not considered. Include copy of this form	ot citation is in conformance with MPEP 609; Draw m with next communication to applicant.	line through ditation if not in

_OIP	E			SHEET 20 OF 23
50m PTO 1449	4	S. DEPARTMENT OF COMMERCE	ATTY DOCKET NO.	SERIAL NO.
(Modified)	PA	T AND TRADEMARK OFFICE	211897US99	09/911,496
1 101	2003	<u></u>	APPLICANT	
LIST OF	REFER	CES CITED BY APPLICANT	Robert J. HIGGINS	S, et al.
B			FILING DATE	GROUP
'A	ath	9	July 25, 2001	2814
A DOME	MAN			
		OTHER REFERENCES (including Author, Title, Date, Pertinent Pages,	tc.)
200		Mori et al., "Epitaxial Growth of SrTiO	3 Films on Si(100) Substrates Using a Focused Ele	ctron Beam Evaporation Method,"
145		Jpn. J. of Apl. Phys., Vol. 30, No. 8A,	Aug. 1991, pp. L1415-L1417.	
1 1/2			70 51 010 batata talan 71 51 14 D	# State Stat
l 1		Moon et al., "Growth of Crystalline Sr Properties," <i>Jpn. J. of Appl. Phys.,</i> Vo	TIO ₃ Films on Si Substrates Using Thin Fluoride Bu	mer Layers and Their Electrical
		-10peides, <i>3pii. 3. 0i Appi. 1-11ys.,</i> 40 	n. 35, (1354), pp. 3511-3516.	
	ннас	Farrow et al., "Heteroepitaxy of Dissin	nilar Materials," Mat. Res. Soc. Symposium Procee	dings, Vol. 221, pp. 29-34, April 29 -
<u> </u>		May 2, 1991.		
		_		
			on: Fundamentals, Structure, and Devices," Mat. F	tes. Soc., Symposium Proceedings,
		Vol. 116, pp. 369-374, April 5-8, 1988	.	
 	MUVE	Douglas B. Chrisay et al: Pulsed I as	er Deposition of Thin Films; pp. 273-285	
		Douglas B. Cillisey, et al, Fuised Las	er Deposition of Thirt lims, pp. 270-203	
]				
	HHAF	B.A. Block, et al; "Photoluminescence	properties of Er3-doped BaTiO3 thin films"; Appl. F	hys. Lett. 65 (1), 4 July 1994, pp.
\		25-27		:
				story handlike Translateds Flacture
	HHAG	Kevin J. Chen et al; "A Novel Ultrafas	t Functional Device: Resonant Tunneling High Ele- Kong; June 29, 1996; pp. 60-63, XP010210167	ctron Mobility Transistor"; Electron
		Devices Meetingk 1996; IEEE Hong P	long, Julie 29, 1990, pp. 00-03, XF010210107	
 	ННАН	Wenhua Zhu et al.: "Molecular Bearn	Epitaxy of GaAs on Si-on-Insulator"; 320 Applied F	hysics Letters 59(1991) 8 July No.
1 1		2; pp. 210-212		
l \			Compound Semiconductor Electronics"; Electron I	Devices Meeting; 1997; Technical
\		Digest, International; Washington, D.	C.; 7-10 December 1997; pp. 545-548	
	ННА І	I.M. Daughton et al : "Applications of	Spin Dependent Transport Materials"; J. Phys. D. A	Appl Phys. 32(1999) R169-R177
1 1	,~ 	b.ivi. Daugritori et al., Applications of	opin populatina transport materials ; c. 1 nys. s.	φμ
1				
	HHAK	Wei Zhang et al.; "Stress Effect and E	nhanced Magnetoresistance in La _{0.67} Ca _{0.33} MnO ₃₋₆	Films"; Physical Review, B.
1		Condensed Matter; American Institute	of Physics; Vol. 58, No. 21, Part 1; December 1, 1	1998; pp. 14143-14146
		0 7 7 1 1 800 1	and the second section for evotors on a ship proper	ation": 1000 IEEE International SOL
1 /		Conference, Oct. 1999; pp.104-105	materials combination for system-on-a chip prepare	ation; 1999 IEEE International SOI
/		Comercines, Oct. 1999, pp. 104-103		
 	ннам	T. Kanniainen et al.; "Growth of Diele	ctric 1hfo2/Ta205 Thin Film Nanolaminate Capacito	ors By Atomic Layer Epitaxy";
/		Electrochemical Society Proceedings	, U.S. Electrochemical Society; Pennington, N.J.; A	lugust 31, 1997; pp. 36-46
<u> </u>				
			wth of BaTio ₃ Films on SI by Pulsed Laser Depositi	on"; Applied Physics Letters; March
		13, 1995; pp. 1331-1333		
	HHAO	Myung Bok Lee: "Formation and Cha	racterization of Eptiaxial TiO2 and BaTiO3/TiO2 Film	ns on Si Substrate"; Japan Journal
	[Applied Physics Letters; Vol. 34; 199	5; pp. 808-811	
T			racy Machine Automated Assembly for Opto Elect	ronics"; 2000 Electronic Components
		and Technology Conference; pp. 1-4		·
<u> </u>	<u> </u>	P. Pamach: "Formaciachia I a St. Ca (D/Pb-Zr-TI-O/La-Sr-Co-O Heterostructures on Silico	on via Template Growth": 320
[\(\) \(\) \(\)	mnau	Applied Physics Letters; 63(1993); 27	December: No. 26; pp. 3592-3594	via rompiata otomar pose
	1 /	77 77 1		
Exàminer	Da.	head Ah	Date Con	sidered / 13/D
*Examiner: In	lial if ra	ference is considered, whether or not	citation is in conformance with MPEP 609; Draw lin	ne through citation if not in
conformance	and not	considered. Include copy of this form	with next communication to applicant.	- t /-

PF	<u>, </u>			SHEET 21 OF 23
FSm PTO 1449	40	U.S. DEPARTMENT OF COMMERCE	ATTY DOCKET NO.	SERIAL NO.
(Modified)	(2)	TENT AND TRADEMARK OFFICE	211897US99	09/911,496
B	בי פוואי	1	APPLICANT	00.011,100
WARSTO	Mrs £	SELECT OFFER BY ADDITIONS		INC et al
MARIST OF	- KEPE	ENCES CITED BY APPLICANT	Robert J. HIGGI	
X	9		FILING DATE	GROUP
Ko.			July 25, 2001	2814
READ TO A DE	30.00		<u> </u>	
		OTHER REFERENCES	(Including Author, Title, Date, Pertinent Pages	s, etc.)
00	IIAA		ors with SrTiO ₃ Gate Dielectric on Si"; Applied P	
1116	, [6, 2000; pp. 1324-1326		
I IIK	1			
	IIAB	Stephen A. Mass; "Microwave Mixers	s"; Second Edition; 2pp.	
1	1	l '		
1				
	IIAC	Douglas J. Hamilton et al.; "Basic Int	egrated Circuit Engineering"; pp.2; 1975	
1 1		-		
1 _				
	IIAD	Takeshi Obata; "Tunneling Magnetor	resistance at Up to 270 K in La _{0.8} Sr _{0.2} MnO ₃ /SrTiC	O ₃ /La _{0.6} Sr _{0.2} MnO ₃ Junctions with 1.6-
1 1		nm-Thick Barriers"; Applied Physics	Letters; Vol. 74, No. 2; 11 January 1999; pp. 290	D-292
		_		
	IIAE	Wei Zhang et al.; "Enhanced Magne	toresistance in La-Ca-Mn-O Films on Si Substra	tes Using YbaCuO/CeO₂
1 1	1	Heterostructures"; Physica C; Vol. 2	82-287, No. 2003; 1 August 1997; pp. 1231-1232	
1	IIAF	Shogo Imada et al; "Epitaxial Growth	of Ferroelectric YmnO ₃ Thin Films on Si (111) S	Substrates by Molecular Beam Epitaxy*;
1 /		Upn. J. Appl. Phys. Vol. 37 (1998); p	p. 6497-6501; Part 1, No. 12A, December 1998	
	\bot			
1 /	JIAG	Ladislav Pust et al.; "Temperature (Dependence of the Magnetization Reversal in Co	(fcc)-BN-Co(poly hcp) Structures";
1 /	1	Journal of Applied Physics; Vol. 85,	No. 8; 15 April 1999; pp. 5765-5767	
 				105: 010 015: 0001
1	IIAH	C. Martinez; "Epitaxial Metallic Nan	ostructures on GaAs"; Surface Science; Vol. 482	:-485; pp. 910-915; 2001
1 1	l	ľ		
	 	141- Ohle- Ohlb -t -t - The action	Investigation of the SAW Properties of Ferroele	etde Film Composito Stauctures": IEEE
1 1	IIAI	wen-Ching Shin et al.; "I neoretical	lectrics, and Frequency Control; Vol. 45, No. 2; No. 2; No. 2	Asset 1008: pp. 305-316
		Transactions of Oltrasonics, Ferroe	lectrics, and Frequency Condo, vol. 45, No. 2, I	Marci 1990, pp. 303-310
	LAII	7h.: Downer of all : "Decign of 7nC	0/SiO ₂ /Si Monolithic Integrated Programmable SA	AW Filter* Proceedings of Fifth
1 1	וואט	International Conference on Solid-S	State and Integrated Circuit Technology; 21-23; C	October 1998: pp. 826-829
\		International Conference on Solid-C	tate and integrated entant recombings, 21-20, e	70.000. 1000, pp. 010 010
 	IIAK	Wirk Othmer Encyclopedia of Chemi	cal Technology; Fourth Edition, Vol. 12; Fuel Res	sources to Heat Stabilizers: A Wiley-
1 1	III/I	Interscience Publication; John Wiley	& Sons	,
1		intersection (abiliadabil, bolin ville)	4 00.10	
 1	IIAL	Joseph W. Goodman et al: "Optical	Interconnections For VLSI Systems"; Proceeding	as of the IEEE, Vol. 72, No. 7 July 1984
1 1	,,,,,	posopii vv. ososiiiaii otai, opiiaii		•
1				
	IIAM	Fathimulia et al.: "MONOLITHIC IN	TEGRATION OF InGaAs/InAIAs MODFETs and	RTDs on InP-bonded-to Si
4	1,,	SUBSTRATE": Fourth International	Conference on Indium Phosphide and Related I	Materials, Newport, RI, USA; April 21-24
1 1		1992 : pp. 167-170: XP000341253:	IEEE, New York, NY, USA; ISBN: 0-7803-0522-	1
1	IIAN	H. Takahashi et al.: "Arraryed-Way	eguide Grating For Wavelength Division Multi/De	multiplexer With Nanometre
1 1	J.,	REsolution"; Electronics Letters; Vo	ol. 26., No. 2, 18th January 1990	
1 1		i		
	IIAO	Pierret, R.F.; "1/J-FET and MESFET	"; Field Effect Devices; MA, Addison-Wesley; 19	990; pp. 9-22
	<u> </u>			
	IIAP	M. Schreiter, et al.; "Sputtering of Se	elf-Polarized PZT Films for IR-Detector Arrays"; 1	1998 IEEE; pp. 181-185
1 1	1	1		
	JIAQ	Hideaki Adachi et al.; "Sputtering Pr	eparation of Ferroelectric PLZT Thin Films and T	heir Optical Applications"; IEEE
1 /////		Transactions of Ultrasonics, Ferroel	ectrics and Frequency Control, Vol. 38, No. 6, No.	ovember 1991
1111/		X / / / / / / / / / / / / / / / / / / /	_ ··-	
Examiner		WY IN HO	Date (Considered / 2/13/07
*Evaminer: I	nitial if r	eference is considered whether or no	ot citation is in conformance with MPEP 609; Dra	w line through citation if not in
conformance	and no	at considered. Include copy of this for	n with next communication to applicant.	
Comonina IO	- 4110 110			

OIPE				SHEET 22 OF 23				
Form PTO 1449 (Modified)	S	U.S. DEPARTMENT OF COMMERCE TENT AND TRADEMARK OFFICE	ATTY DOCKET NO.	SERIAL NO.				
MAR 1 4	20903 R	SENT AND TRADEMARK OFFICE	211897US99	09/911,496				
		ENCES CITED BY APPLICANT	APPLICANT Robert J. HIGGINS, et al.					
	. 8	,	FILING DATE	GROUP				
Z ZOADEN	ARIS		July 25, 2001	2814				
		OTHER RECEPENCES	(Including Author, Title, Date, Pertinent Pages,	***				
00	JJAA		Materials Properties Applications"; Chapman & Ha					
1212								
	JJAB		h and Structure of Cubic and Pseudocubic Perovski 1; 1995 Materials Research Society; pp. 109-114	ite Films on Perovskite Substrates";				
	JJAC	Wang et al.; "Depletion-Mode GaAs I 1998, IEDM '98 Technical Digest; pp	MOSFETs with Negligible Drain Current Drift and H . 67-70	ysteresis"; Electron Devices Meeting,				
	JJAD	Ben G. Streetman; "Solid State Elect	ronic Devices"; 1990, Prentice Hall; Third Edition; p	p. 320-322				
	JJAE	A.Y Wu et al.; "Highly Oriented (Pb,L	a)(Zr,Ti)O ₃ Thin Films on Amorphous Substrates";	IEEE, 1992; pp. 301-304				
	JJAF	Timothy E. Glassman et al.; "Evidence Mat. Res. Soc. Symp. Proc. Vol. 446	ce for Cooperative Oxidation of MoCVD Precursors , 1997 Materials Research Society; pp. 321-326	Used in Ba _x Sr _{1-x} TiO ₃ Film Growth*;				
	JJAG	S.N. Subbarao et al.; "Monolithic PIN 166; 1989	Photodetector and FET Amplifler on GaAs-os-Si";	IEEE; GaAs IC Symposium-163-				
	JJAH	T.A. Langdo et al.; "High Quality Ge of June 19, 2000	on SI by Epitaxial Necking"; Applied Physics Letters	s; Vol. 76, No. 25; pp. 3700-3702;				
	JJAI	Chenning Hu et al.; Solar Cells From	Basics to Advanced Systems; McGraw-Hill Book C	ompany; 1983				
	LALL	O.J. Painter et al; "Room Temperatu Lightwave Technology, Vol. 17, No. 1	re Photonic Crystal Defect Lasers at Near-Infrared 11; November 1999	Wavelengths in InGaAsp*; Journal of				
	JJAK	C. Donn et al.; "A 16-Element, K-Bar International Symposium, 1988; pp.1	nd Monolithic Active Receive Phased Array Antenna 88-191, Vol. 1; 6-10 June 1988	i"; Antennas and Propagation Society				
	JJAL	Don W. Shaw, "Epitaxial GaAs on Si	: Progress and Potential Applications"; Mat. Res. S	ioc. Symp. Proc.; pp.15-30; 1987				
	JJAM	Symposium on Integrated Ferroelec						
	JJAN	Symposium; Dec. 2-4, 1991 (Abstra		·				
	JJAO		sto-optic diffraction efficiency in a symmetric SrRiO Vol. 39, No. 31; Applied Optics; pp. 5847-5853	3/BaTiO3/SrTiO3 thin-film				
	JJAP	Phys. D: Appl. Phys. 32 (1999) 380						
NB	JJAQ	S.K. Tewksbury et al.; "Cointegration Proceedings, Fifth Annual IEEE; 20	of Optoelectronics and Submicron CMOS*; Wafer January 1993; pp. 358-367	Scale Integration; 1993;				
Examiner	//	Wilher Th	Date Cor	isidered 12/10/0				
			t citation is in conformance with MPEP 609; Draw lin with next communication to applicant.	ne through citation if not in				

							
Form PTO 144		U.S. DEPARTMENT OF COMMERCE	ATTY DOCKET NO.	SERIAL NO.			
(MODINGOT)	L Est	VENT AND TRADEMARK OFFICE	211897US99	09/911,496			
LIST OF REFERENCES CITED BY APPLICANT			APPLICANT Robert J. HIGGINS, et al.				
MAP	1 4 200	1 10 CHED BY AFFEIGHT	FILING DATE COOLIN				
E ruin	. 7 200	" 원	July 25, 2001	2814			
- W		S	1 041, 20, 2001	2014			
(X)		OTHER REFERENCES	(Including Author, Title, Dat , Pertinent Pages	, etc.)			
PC	TRIBAA	V. Kaushik et al.; "Device Characteri	stics of Crystalline Epitaxial Oxides on Sillcon*; D	evice Research Conference, 2000;			
0-01		эт эт эт эт эт эт эт эт эт эт эт эт эт э					
Y	KKAB	Katherine Derbyshire; "Prospects Br Semiconductor Magazine; Vol. 3, No.	July 25, 2001 (Including Author, Title, Dat , Pertinent Pages stics of Crystalline Epitaxial Oxides on Silicon*; D 20; June 19-21, 2000 Ight for Optoelectronics Volume, Cost Drive Manuals, 3; March 2002	facturing for Optical Applications";			
	KKAC	Alex Chediak et al; "Integration of G MSE 225, April 12, 2002; pp. 1-5	AS/Si with Buffer Layers and Its Impact on Device	e Integration"; TICS 4, Prof. Sands.			
	KKAD	S.A. Chambers et al; "Band Disconti No. 11; September 11, 2000; pp. 16	nuities at Epitaxial SrTiO3/Si(001) Heterojunction 32-1664	s*; Applied Physics Letters; Vol. 77,			
		pp. 549-552	er HBTs for Mobile Communications"; Microwave				
		1994; Vol. 30, No. 11; pp. 906-907	inction FET to Power Amplifier for Cellular Teleph				
	KKAG	Keiichi Sakuno et al; *A 3.5W HBT N Millimeter-Wave Monolithic Circuits	MMIC Power Amplifier Module for Mobile Commur Symposium; pp. 63-66	nications"; IEEE 1994; Microwave and			
			elease (GaAs FET's) November 8, 1999 pp.1-2				
	KKAI	R.J. Matyl et al; "Selected Area Hete Films; 181 (1989) December 10; No	roepitaxial Growth of GaAs on Silicon for Advanc 1; pp. 213-225	ed Device Structures"; 2194 Thin Solid			
	KKAJ	K. Nashimoto et al; "Patterning of N Phase Epitaxy"; Applied Physics Let	o, LaOnZr, TiO3 Waveguides for Fabricating Microters; Vol. 75, No. 8; 23 August 1999; pp. 1054-10	o-Optics Using Wet Etching and Solid- 56			
	KKAK	Bang-Hung Tsao et al; "Sputtered B Applications of Ferroelectrics, 2000;	arium Titanate and Barium Strontium Titanate Filir Proceedings of the 2000 12th International Symp	ns for Capacitor Applications"; osium on Vol. 2; pp. 837-840			
		Materials Research; Vol. 12, No. 5;					
		Science; 2 February 2001; Vol. 291;					
RB	KKAN	S.A. Chambers et al.; "Epitaxial Gro Vol. 79, No. 21; November 19, 2001	wth and Properties of Ferromagnetic Co-Doped T ; pp. 3467-3469	iO2 Anatase"; Applied Physics Letters;			
	KKAO						
	KKAP						
	KKAQ	000		(/)			
Examiner	'	1/2 1/ H1/2	Date C	onsidered ///3/21			
II.	nitial if re	eference is considered, whether or no	ot citation is in conformance with MPEP 609; Draw	v line through citation if not in			
conformance	and no	t considered. Include copy of this for	n with next communication to applicant.				

SHEET 1 OF 5

ATTY DOCKET NO. SERIAL NO. Form PTO 1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 211897US99 09/911,496 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Robert J. HIGGINS, et al. **GROUP FILING DATE** 2814 July 25, 2001 **U.S. PATENT DOCUMENTS FILING DATE EXAMINER** DOCUMENT SUB DATE **CLASS** NAME INITIAL NUMBER **CLASS** IF APPROPRIATE UT 5,528,209 06/18/96 Macdonald et al. UV 5,998,781 12/07/99 Vawter et al. 6,110,813 08/29/00 Ota et al. UW UX 09/17/02 Adan 6,452,232 B1 04/11/00 UY 6,049,110 Koh UΖ 5,559,368 09/24/96 Hu et al. VA 6,392,253 B1 05/21/02 Saxena 12/17/96 Davis et al. **VB** 5,585,288 VC 5,268,327 12/07/93 Vernon 6,198,119 B1 03/06/01 Nabatame et al. VD 6,113,225 09/05/00 Miyata et al. VΕ VF 11/16/93 Grudkowski et al. 5,262,659 VG 05/29/01 Kinsman 6,239,012 B1 VH 6,297,598 10/02/01 Wang et al. 10/03/02 2002/140012 Droopad VJ 09/12/89 Yokogawa et al. 4,866,489 06/27/00 Yokota et al. VK 6,080,378 Takatani et al. VL 5,508,554 04/16/96 11/05/02 Shanley VM 6,477,285 B1 VN 09/22/87 Holder 4,695,120 VO 5,882,948 03/16/99 Jewell VΡ 11/12/96 Feuer et al. 5,574,589 VQ 5,510,665 04/23/96 Conley VR 4,804,866 02/14/89 Akiyama 10/15/91 Idaka et al. VS 5,057,694 VT 5,635,453 06/03/97 Pique et al. 02/17/98 VU 5,719,417 Roeder et al. 12/07/99 Yokoyama et al. 5,998,819 **Date Considered** Examiner

*Examiner Initial of reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

5

Form PT (Modified			U.S. DEPARTMENT (PATENT AND TRAD		ATTY DOCKET NO. 211897US99 SERIAL NO. 09/911,496			
				APPLICANT				
LIST OF REFERENCES CITED BY APPLICANT			RENCES CITED BY APP	Robert J. HIGGINS, et al.				
					FILING DATE		GROUP	
					July 25, 2001		2814	
					U.S. PATENT DOCUMENTS			
EXAM			DOCUMENT	DATE	NAME	CLASS	SUB	FILING DATE
INIT	IAL		NUMBER			02.00	CLASS	IF APPROPRIATE
(V)	(<u>)</u>	w	2002/0079576	06/27/02	Seshan	7	7	
		VX	5,148,504	09/15/92	Levi et al.			
		VY	2002/0195610 A1	12/26/02	Klosowiak			
		VZ	5,477,363	12/19/95	Matsuda			
		WA	5,905,571	05/18/99	Butter et al.			
		WB	5,570,226	10/29/96	Ota			
		wc	5,087,829	02/11/92	Ishibashi et al.			
		WD	2001/0020278 A1	09/06/01	Saito			
		WE	6,496,469 B1	12/17/02	Uchizaki			
		WF	5,679,947	10/21/97	Doi et al.			
		WG	2001/0036142 A1	11/01/01	Kadowaki et al.			
		wн	5,446,719	08/29/95	Yoshida et al.	1		
		WI	5,831,960	11/03/98	Jiang et al.			
		WJ	5,693,140	12/02/97	McKee et al.			
	1	WK	6,376,337 B1	04/23/02	Wang et al.			
		WL	4,177,094	12/04/79	Kroon			
		WM	5,216,359	06/01/93	Makki et al.			
	1	WN	6,307,996 B1	10/23/01	Nashimoto et al.			
	1	wo	5,371,621	12/06/94	Stevens			
<u> </u>	1	WP	2002/0145168 A1	10/10/02	Bojarczuk, Jr et al.			
	1	wq	3,617,951	11/02/71	Anderson			
	-11	WR	5,838,053	11/17/98	Bevan et al.			
	\dashv	ws	5,684,302	11/04/97	Wersing et al.			
	-/-	WT	5,959,308	09/28/99	Shichijo et al.			
-	/	WU	5,362,972	11/08/94	Yazawa et al.		1	
	+	wv	5,864,171	01/26/99	Yamamoto et al.		-\	
	1	ww	5,028,563	07/02/91	Feit et al.		1	
R	<u>ر</u> ک	wx	54937,115/	08/10/99	Domash	-	1	
Exami	Examiner WX 3937,115/ 00/10/99 Domash					Date Co	nsidered	17/13/05

*Examiner: Initial of reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO (Modified)			ATTY DOCKET NO.	A you - 2182.		SERIAL NO.			
(mounted)	PATENT AND TRADEMARK OFFICE			2110970099		09/911,49	96		
		566			APPLICANT				
LIS	TOF	KEFEF	RENCES CITED BY APP	PLICANT	Robert J. HIGGINS, et al.				
					FILING DATE		GROUP		
					July 25, 2001		2814		
<u> </u>					U.S. PATENT DOCUMENTS				
EXAMIN INITIA			DOCUMENT NUMBER	DATE	NAME CLASS (SUB CLASS		
126	2	WY	5,878,175	03/02/99	Sonoda et al.				
		wz	4,801,184	01/31/89	Revelli				
		XA	5,140,387	08/18/92	Okazaki et al.				
		ХВ	5,410,622	04/25/95	Okada et al.				
		хс	6,064,783	05/16/00	Congdon et al.				
		XD	5,772,758	06/30/98	Collins et al.				
	\Box	XE	5,666,376	09/09/97	Cheng				
	\sqcap	XF	5,976,953	11/02/99	Zavracky et al.				
	\sqcap	XG	5,578,162	11/26/96	D'Asaro et al.				
	$ egthinspace{1}{4} egthinspace{1}{4} egthinspace{2}{4} hinspace{2}{4} egthinspace{2}{4} egthinspace{2}{4} egthinspace{2}{4} egthinspace{2}{4} egthinspace{2}{4} egthinspace{2}{4} egthinspace{2}{4} egthinspace{2}{4} egthinspace{2}{4} egthinspace{2} egthinspace{2}{4} egthinspace{2}{4} egthinspace{2}{4} egthinspace{2}{4} egthinspace{2}{4} egthinspace{2}{4} egthinspace{2}{4} egthinspace{2} egthinspace{2}{4} egthinspace{2}{4} egthinspace{2} egthinspace{2}{4} egthinspace{2}{4} egthinspace{2} egthinspace{2}{4} egthinspace{2} egt$	хн	5,585,167	12/17/96	Satoh et al.				
	T	ΧI	5,674,813	10/07/97	Nakamura et al.				
	\prod	ΧJ	5,574,296	11/12/96	Park et al.				
		ХК	6,504,189	01/07/03	Matsuda et al.				
A.V	7	XL	5,987,196	11/16/99	Noble		<u> </u>		
	$\overline{}$	XM							
	\neg	XN							
		хо							
		XP							
	\neg	XQ							
		XR					\Box		
		xs					\Box T		
		хт							
		ΧU							
		χV							
		xw					\prod		
		XX							
		XY	1						
		XZ/)							
Examin		IV	upper 1	Th	<u> </u>		onsidered //		
*Exami	*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

SHEET 4 OF 5

MAY 0 8 2003

ATTY DOCKET NO. SERIAL NO. Form PTO 1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 211897US99 09/911,496 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Robert J. HIGGINS, et al. **FILING DATE GROUP** July 25, 2001 2814 **FOREIGN PATENT DOCUMENTS** DOCUMENT TRANSLATION DATE COUNTRY NUMBER YES NO CBC EP 1 035 759 09/13/00 Europe CBD EP 0 860 913 08/26/95 **EUROPE** CBE 5-232307 09/10/93 JAPAN W/ENGLISH ABSTRACT CBF 5-243525 09/31/93 JAPAN W/ENGLISH ABSTRACT CBG 3-171617 07/25/91 JAPAN W/ENGLISH ABSTRACT СВН EP 1 089 338 04/04/01 **EUROPE** CBI 01 294594 11/28/99 JAPAN (ABSTRACT) 08/31/93 JAPAN (ABSTRACT) CBJ 05 221800 CBK 03-149882 11/07/89 JAPAN 0 614 256 09/07/94 **EUROPE** CBL СВМ 1 054 442 11/22/00 **EUROPE EUROPE** CBN 0 852 416 07/08/98 СВО WO 02/08806 01/31/02 WIPO WO 01/59837 WIPO CBP 08/16/01 JAPAN W/ENGLISH ABSTRACT CBQ 62-245205 10/26/87 06/08/94 CBR 0 600 658 **EUROPE** CBS 0 412 002 02/06/91 **EUROPE** CBT 2000-349278 12/15/00 JAPAN (ENGLISH ABSTRACT) CBU 01-196809 08/08/89 JAPAN (ENGLISH ABSTRACT) CBV 0 619 283 10/12/94 **EUROPE** CBW EUROPE 0 661 561 07/05/95 0 331 338 09/06/89 **EUROPE** CBX CBY CBZ CCA CCB CCC CCD CCE CCF CCG CCH CCI CCJ CCK CCL ССМ CCN cco CCP

Muhand AM

MAY 0 8 2003

SHEET 5 OF 5

Form PTO 1449		U.S. DEPARTMENT OF COMMERCE	ATTY DOCKET NO.	2 3	SERIAL NO.		
(Modified)	-	PATENT AND TRADEMARK OFFICE	211897US99	THE THE MARK OF	09/911,496		
			APPLICANT				
LIST OF	REFER	ENCES CITED BY APPLICANT	Robert J. HIGGINS	6, et al.			
			FILING DATE		GROUP		
July 25, 2001 2814							
		OTHER REFERENCES	(Including Author, Title	, Date, Pertinent Pages	, etc.)		
Ma	KKAO	Charles Kittel: "Introduction to	Solid State Physics	John Wiley & Sons, I	nc. Fifth Edition; pp. 415		
	KKAP	Chyuan-Wei Chen et al: "Liquid-p substrates for application to or January, No. 2; Woodbury, NY, US	ange light-emitting di				
	KKAQ	W. Zhu et al.; Oriented diamond September, No. 12, Woodbury, NY,	films grown on nickel	substrates"; 320 App	lied Physics Letters; 63(1993)		
	KKAR	M. Schreck et al.; "Diamond/Ir/S Applied Physics Letters: Vol. 74			heteroepitaxial diamond films";		
	Yoshihiro Yokota et al.; "Cathodoluminescence of boron-doped heteroepitaxial diamond films on platinum"; KKAS Diamond and Related Materials 8(1999); pp. 1587-1591						
	J.R. Busch et al.; "LINEAR ELECTRO-OPTIC RESPONSE IN SOL-GEL PZT PLANAR WAVEGUIDE"; Electronics Letters; 13th August 1992; Vol. 28, No. 17; pp. 1591-1592						
	KKAU	R. Droopad et al; "Epitaxial Oxide Films on Silicon: Growth, Modeling and Device Properties"; Mat. Res. AU Soc. Symp. Proc. Vol. 619; 2000 Materials Research Society; pp. 155-165					
	KKAV	H. Ohkubo et al.: "Fabrication Oscillation"; 2419A Int. Conf.	of High Quality Perovs on Solid State Devices	skite Oxide Films by l s & Materials, Tsukuba	ateral Epitaxy Verified with RHEED , August 26-28 (1992); pp. 457-459		
	KKAW	Lin Li: "Ferroelectric/Supercon 153-181	ductor Heterostructure	es"; Materials Scienc	e and Engineering; 29 (2000) pp.		
	KKAX	L. Fan et al.; "Dynaamic Beam S Beam Routers"; IEEE Photonics T			ng Lasers with Integrated Optical 1997; pp. 505-507		
	ккач	Y. Q. Xu. et al.; "(Mn, Sb) dro 88, No. 2; 15 July 2000; pp. 100		ared detector arrays"	: Journal of Applied Physics: Vol.		
My	KKAZ		of dislocations in Inc 115 (1991) pp. 174-179	GaAs layer on GaAs us: ; December 1991	ing epitaxial lateral overgrowth";		
	LLAA						
	LLAB						
	LLAC						
	LLAD						
	LLAE	1 1 .					
Examiner	M	dus Du			Considered / 2/13/pt		
*Examiner: l	hitial if i	reference is considered, whether or no ot considered. Include copy of this for	ot citation is in conformat m with next communicati	nce with MPEP 609; Dra ion to applicant.	iw line through citation if not in		

NOV 0 6 2003

Form PTO 1449 U.S. DEPARTMENT OF CHARGE					ATTY DOCKET NO. SERIAL NO.			10 .	
(Modified) PATENT AND TRADEMARK OFFICE				MARK OFFICE	211897US99 09/911,496				
					APPLICANT				
LIST OF REFERENCES CITED BY APPLICANT				LICANT	Robert J. HIGGINS, et al.				
					FILING DATE		GROUP		
					July 25, 2001	1	2814		
					U.S. PATENT DOCUMENTS				
EXAM			DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
1	13	XN	6,233,435 B1	05/15/01	WONG	-			
1	-	хо	4,723,321	02/02/88	SALEH	1-1-			
		ΧP	6,181,920 B1	01/30/01	DENT ET AL		·		
		XQ	6,415,140 B1	07/02/02	BENJAMIN ET AL			76 .	
		XR	5,760,740	06/02/98	BLODGETT			<u> </u>	
		xs	5,238,877	08/24/93	RUSSELL		-/	8 5 M	
		хт	4,876,218	10/24/89	PESSA ET AL		<i> </i>		
		ΧU	6,232,242 B1	05/15/01	HATA ET AL			<u> </u>	
		χV	4,378,259	03/29/83	HASEGAWA ET AL				
1	•	xw	6,278,541 B1	08/21/01	BAKER				
		XY	4,298,247	11/03/81	MICHELET ET AL			3	
		ΧZ	4,174,504	11/13/79	CHENAUSKY ET AL		\		
_		YA	3,758,199	09/11/73	THAXTER				
		YB	6,362,558 B1	03/26/02	FUKUI				
	T	YC	6,140,746	10/31/00	MIYASHITA ET AL				
		YD	2002/0076878 A1	06/20/02	WASA ET AL				
		YE	6,419,849 B1	07/16/02	QIU ET AL .				
		YF	2002/0179000 A1	12/05/02	LEE ET AL				
	7	YG	6,341,851	01/29/02	TAKAYAMA ET AL				
	1	YH	2001/0055820 A1	12/27/01	SAKURAI ET AL				
	1	ΥI	6,204,525 B1	03/20/01	SAKURAI ET AL				
		YJ	5,985,404	11/16/99	YANO ET AL				
-		YK	6,538,359 B1	03/25/03	HIRAKU ET AL				
		YL	6,498,358 B1	12/24/02	LACH ET AL				
		YM	5,387,811	02/07/95	SAIGOH	$\Box \setminus \Box$			
		YN	5,523,602	06/04/96	HORIUCHI ET AL				
	7	YO	5,362,998	11/08/94	IWAMURA ET AL				
1/1/	1	YP	5,168,976	02/23/93	KUME ET AL		-4-		
Exam	iner		Whand	00		<u> </u>	nsidered	12/13/05	
II		/			A altalian is in conformation with MOCO 6	OO: Desur l	na through	citation if and in	

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ATTY DOCKET NO. SERIAL NO. Form PTO 1449 211897US99 09/911,496 JOENSON, **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Robert J. HIGGINS, et al. **FILING DATE GROUP** July 25, 2001 2814 **U.S. PATENT DOCUMENTS** FILING DATE **EXAMINER DOCUMENT** SUB **CLASS** DATE NAME NUMBER INITIAL CLASS YQ 12/31/02 YU ET AL 6,501,121 B1 YR 07/06/99 YANO ET AL 5,919,515 YS 5,238,877 08/24/93 RUSSELL ΥT 5,540,785 07/30/96 **DENNARD ET AL** YU 5,997,638 12/07/99 **COPEL ET AL** W 6,291,866 09/18/01 WALLACE YW 5,365,477 11/15/94 COOPER, JR ET AL MORRIS ET AL 5,548,141 08/20/96 ΥX 02/21/02 KIM YY 2002/0021855 08/29/00 YU ΥZ 6,110,840 09/16/97 **EK ET AL** ZA 5,667,586 FRIEDERICH ET AL ZΒ 5,313,058 05/17/94 ZC 5,315,128 05/24/94 **HUNT ET AL** ZD 5,919,522 07/06/99 **BAUM ET AL** 06/27/89 **OHYA ET AL** ZE 4,843,609 ZF 4,626,878 12/02/86 **KUWANO ET AL** 06/25/85 **FOYT ET AL** ZG 4,525,871 **COLEMAN** ZH 3,818,451 06/18/74 **CHU ET AL** ZI 6,059,895 05/09/00 KING ET AL ZJ 4,447,116 05/08/84 **BINKLEY ET AL** ΖK 6,022,671 02/08/00 ZL 5.754,714 05/19/98 **SUZUKI ET AL** 02/25/03 **GAN ET AL** ZM 6,524,651 B2 ΖN 6,355,945 B1 03/12/03 KADOTA ET AL 70 5,642,371 06/24/97 **TOHYAMA ET AL** 09/03/02 **ABELES** ZP 6,445,724 B2 YANO ET AL ZQ 5,753,934 05/19/98 SUGIYAMA ET AL ΖR 6,326,667 B1 12/04/01 04/18/00 **MASUDA** ZS 6,051,874 11/24/92 **OLSON ET AL** ZΤ 5,166,761 Zυ 5,574,744 11/12/96 **GAW ET AL**

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner

Date Considered

D

NOV 0 6 2003

SHEET 3 OF 4

Form PTO 1449 (Modified) U.S. DEPARTMENT OF COMMERCE ATTY DOCKET NO. SERIAL NO. 211897US99 09/911,496 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Robert J. HIGGINS, et al. FILING DATE **GROUP** July 25, 2001 2814 **FOREIGN PATENT DOCUMENTS** DOCUMENT **TRANSLATION** DATE COUNTRY NUMBER YES NO CCA 5-238894 09/17/93 JAPAN W/ENGLISH ABSTRACT 07/31/85 CCB 2 152 315 **GREAT BRITAIN** CCC 07/19/01 JAPAN W/ENGLISH ABSTRACT 2001-196892 CCD 2000-278085 10/06/00 JAPAN (ENGLISH ABSTRACT) 02/13/03 **WIPO** CCE WO 03/012874 CCF 10/11/00 **EUROPE** LLI, 1 043 427 **EUROPE** CCG 1 069 605 01/17/01 ССН WO 02/099885 12/12/02 **WIPO** CCI 10-269842 10/09/98 JAPAN W/ENGLISH ABSTRACT JAPAN (ENGLISH ABSTRACT) CCJ 59066183 04/14/84 CCK 03046384 02/27/91 JAPAN (ENGLISH ABSTRACT) 02/07/02 **WIPO** CCL WO 02/11254 **EUROPE** CCM 0 494 514 07/15/92 CCN 0 247 722 12/02/87 **EUROPE EUROPE** CCO 1 037 272 09/20/00 CCP 59-073498 04/25/84 JAPAN (ENGLISH ABSTRACT) CCQ 60-161635 08/23/85 JAPAN W/ENGLISH ABSTRACT CCR 59-044004 03/12/84 JAPAN W/ENGLISH ABSTRACT A PS ccs 0 392 714 10/17/90 **EUROPE** CCT CCU CCV CCW CCX CCY CCZ CDA CDB CDC CDD CDE CDF CDG CDH CDI CDJ CDK CDL CDM CDN

Maran ST

			50/48
;	0	6 200	3
	_		~ ~ ~ ~

Form PTO 1449		U.S. BEPARTMENT OF SOMMERCE	ATTY DOCKET NO.	SERIAL NO.			
(Modified)		PATENT AND ARRIVEMARK OFFICE	211897US99	09/911,496			
			APPLICANT				
HSTE	REFER	RENCES CITED BY APPLICANT	Robert J. HIGGINS, et al.				
	<i>,</i> એ	\	FILING DATE	GROUP C 70			
NOV 0 6 2	8 EDD	<i>i</i>	July 25, 2001	2814 28 吾 円			
		OTHER REFERENCES (including Author, Title, Date, Pertinent Pa	GROUP C 2814 REC			
(3),		·	oked on silicon"; Science News Online; Sep	1 15 2001: pp. 1-3			
A ADEL	ĹĹĀĀ	Total Walso, open delinon god no	oned on sinash , Saidhos News Shinte, Gep	2814 28 00 RECEIVED 2814 28 00 M PED 1. 15, 2001; pp. 1-3 PL ROOM			
	LLAB	"Motorola Develops New Super-Fast	Chip"; USA Today; Sept. 4, 2001	MO			
	LLAC	Lori Valigra; "Motorola Lays GaAs on	Si Wafer"; AsiaBizTech; Nov. 2001pp. 1-3				
	LLAD	"Holy Graill Motorola Claims High-Yie	eld GaAs Breakthrough"; Micromagazine.co	m (no date available); pp. 1-3			
	LLAE		ectric LINbO3 Thin Film on MgO-Buffered Si II.); Vol. 29, Nov. 1996; pp. S648-S651	by the Sol-Gel Method"; Journal of the			
	LLAF	V. Bornand et al.; "Deposition of LITa	O3 thin films by pyrosol process"; Thin Soli	d Films 304 (1997); pp.239-244			
	LLAG	l = .	igh dielectric constant epitaxial oxides on si ; pp.292-296	licon by molecular beam epitaxy*; Materials			
	LLAH	A.K. Sharma et al.; "Integration of Pb 76, No. 11; March 13, 2000; pp. 1456	(Zr0.52Ti0.48)O3 epilayers with Si by dom: 8-1460	ain epitaxy*; Applied Physics Letters, Vol.			
	LLAI		y GaAs-AlGaAs HBT's by MBE with Be Bas 2(1991) September, No. 9, New York, US	e Doping and InGaAs Emitter Contacts";			
	LLAJ	C. Y. Hung et al; "Piezoelectrically in December, No. 27, New York, US	duced stress tuning of electro-optic devices	": 320 Applied Physics Letters; 59(1991) 30			
	LLAK	J. Piprek; "Heat Flow Analysis of Lon Science, Newark, DE, 19716-3106; (g-Wvelength VCSELs with Various DBR Ma Oct. 31, 1994; pp. 286-287	aterials"; University of Delaware, Materials			
	LLAL		of designing an efficient nitride VCSEL reso	nator"; J. Phys. D: Appl. Phys. 34(2001);			
	LLAM		aAs IC Manufacturer's Perspective"; GaAs	IC Symposium, IEEE, 1988; pp. 243-246			
	LLAN	Y. Kitano et al.; "Thin film crystal groupp. 164-169	wth of BaZrO3 at low oxygen partial pressur	e"; Journal of Crystal Growth 243 (2002);			
My	LLAO		Study of Colossal Magneto-Resistive Films A b; pp. 531-536	As a Function of Growth Temperature, As			
	LLAP						
	LLAQ	, /) /					
Examiner	14	Men de		Date Considered 12 5 7			
			t citation is in conformance with MPEP 609; n with next communication to applicant.	Draw line through citation if not in			

SHEET 1 OF 1

OT 2 9 2004 in

Form PT			U.S. DEPARTMENT		ATTY DOCKET NO.		SERIAL NO.	
(Modified)		PATENT AND TRAC	EMARK OFFICE	211897US99	09/911,496		
					APPLICANT			
LIS	ST OF	REFER	RENCES CITED BY AP	PLICANT	Robert J. HIGGINS, et al.	Robert J. HIGGINS, et al.		
					FILING DATE		GROUP	
		_			July 25, 2001		2814	
					U.S. PATENT DOCUMENTS			
EXAMI INITI			DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
Ill.	5	ΖV	5,122,679	06/16/92	ISHII ET AL	·	1	
1		zw	6,232,806	05/15/01	WOESTE ET AL			
		ZX	5,430,397	07/04/95	ITOH ET AL			
		ZY	6,151,240	11/21/00	SUZUKI			
		ZZ	6,528,374	03/04/03	BOJARCZUK, JR ET AL			
		A1	6,589,887	07/08/03	DALTON ET AL			
		A2	5,064,781	11/12/91	CAMBOU ET AL			
		А3	2002/0052061	05/02/02	FITZGERALD			
		A4	5,696,392	12/09/97	CHAR ET AL			
1		A5	5,986,301	11/16/99	FUKUSHIMA ET AL			
111	>	A6	6,329,277	12/11/01	LIU ET AL			
		A7						
		A8						
		A9						
				FO	REIGN PATENT DOCUMENTS			
			DOCUMENT NUMBER	DATE	COUNTRY		· YES	TRANSLATION NO
P.L	9	ccs	WO 99/67882	12/29/99	WIPO			_#
 		CCT	WO 95/02904	01/26/95	WIPO			
		CCU	WO 02/009150	01/31/02	WIPO			
		CCV	0 766 292	04/02/97	EUROPE			
Ì		ccw	198 29 609	01/05/00	GERMANY			
		CCX	1 069 605	01/17/01	EUROPE			
		CCY	0 828 287	03/11/98	EUROPE			
1)	17	CCZ	1 176 230	01/30/02	EUROPE		-	
- / - / -	, .	L	OTHER RE	FERENCES (Including Author, Title, Date, Pertinen	t Pages, e	tc.)	
N	1	LLAP	YI W. et al; "Mechanis of Vacuum Science &	m of cleaning : Technology, V	SI (100) surface using Sr and SrO for the ol. 20, No. 4, July 2002 (2002-07) pp. 14	growth of 02-1405	crystalline	SrTiO/sub 2/films* Journal
110	1/5	LLAQ	XIAMING HU et al; "Se Proceedings, Vol. 716		ormation for the epitaxial growth of SrTiO	/sub 3/on s	silicon" Mat	erials Research Society
		LLAR						
		LLAS	11			☐ Add	itional Refe	erences sheet(s) attached
Examir	ner	10	May &	な.		Date Co	nsidered	14/3/05
*Exami	iner: Ir	itial if r	eference is considered, ot considered, include co	whether or no opy of this form	t citation is in conformance with MPEP 60 with next communication to applicant.	09; Draw li	ne through	citation if not in